

# Vermont Department of Corrections



FY 2014 BUDGET PRESENTATION

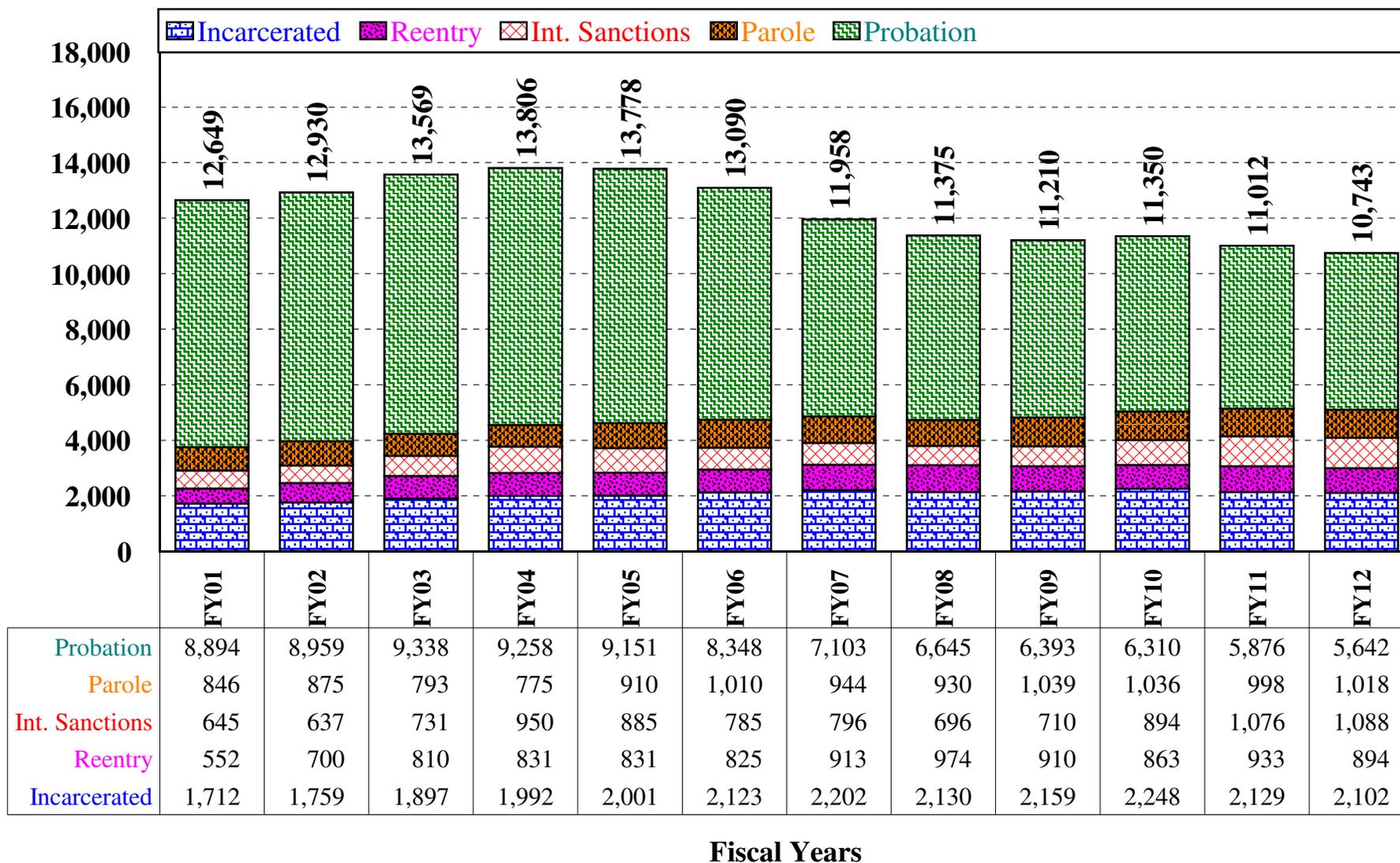
**AGENCY OF HUMAN SERVICE  
DEPARTMENT OF CORRECTIONS  
FISCAL YEAR 2014 GOVERNOR’S BUDGET PRESENTATION**

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# Total Corrections Population in 21<sup>st</sup> Century

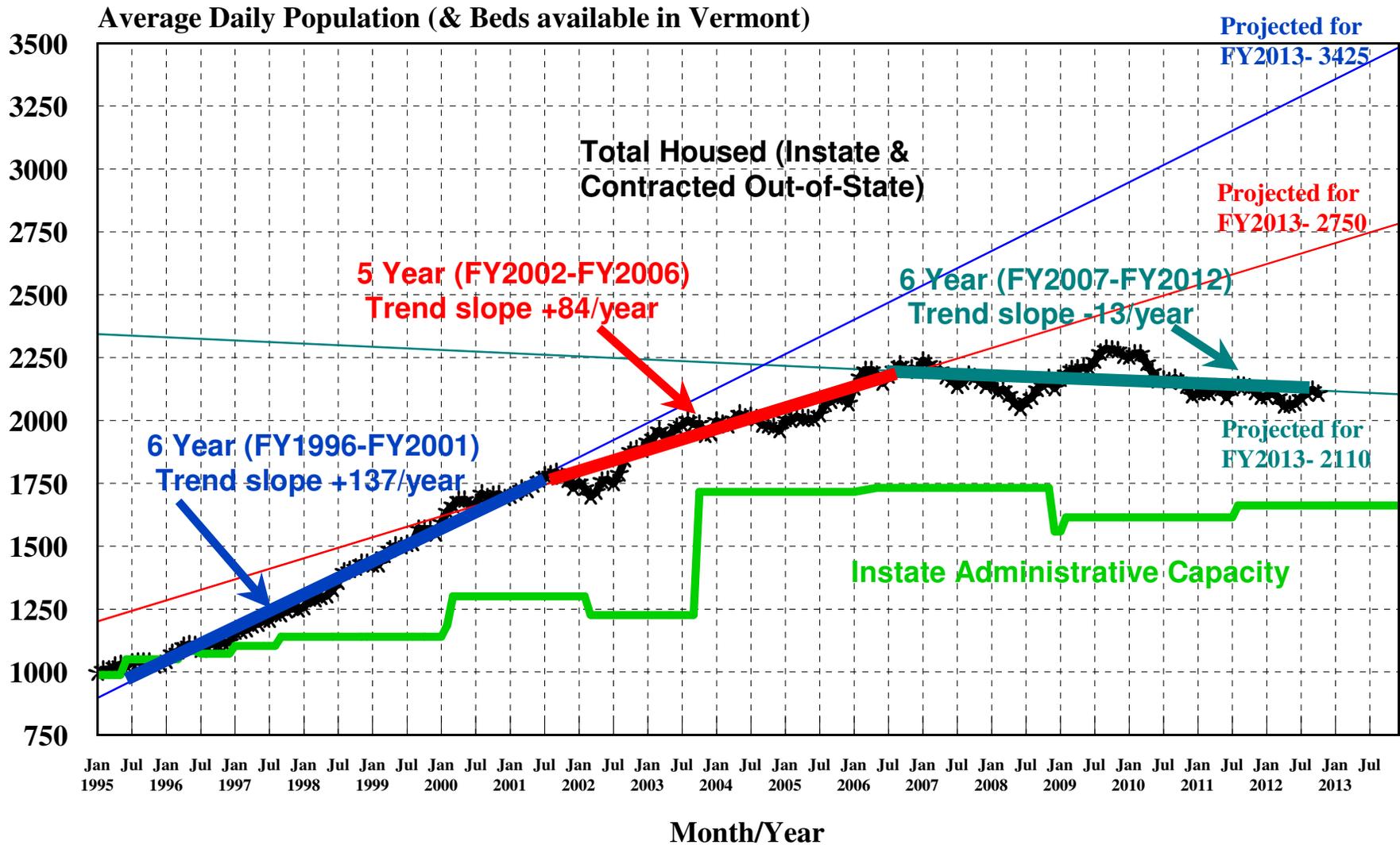
## Incarcerated, Reentry, Intermediate Sanctions, Parole, & Probation



Source: Vermont Dept of Corrections (VTDOC) Snapshot databases for Average Daily Population. Includes inmates housed out-of-state. Persons with multiple simultaneous statuses are counted in most restrictive only (order: Incarceration, Reentry, Intermediate Sanctions, Parole, Probation).

# Long term Incarcerated Population Trends

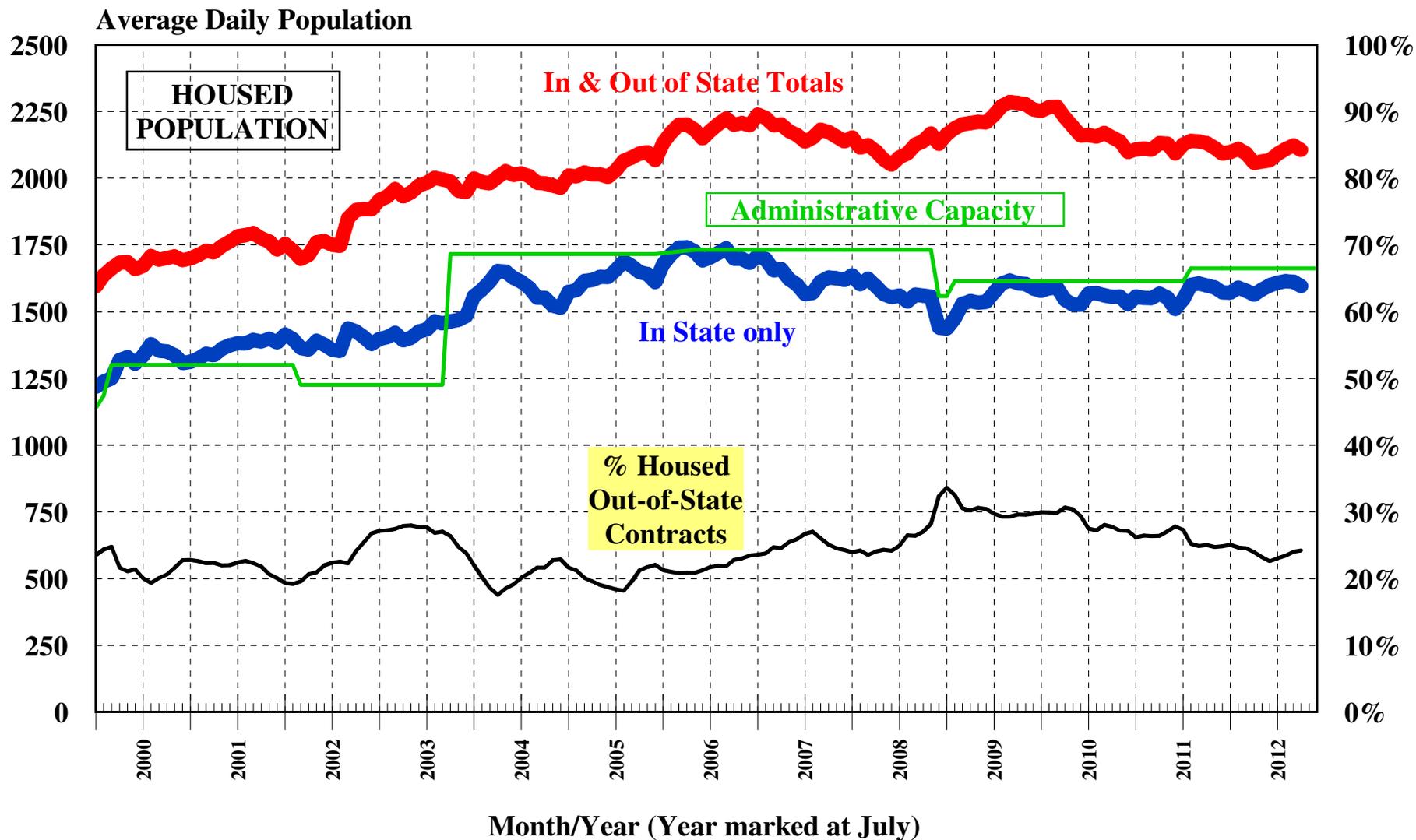
## The Changing of Growth Problem



Source: VTDOC Population Statistics (Popstat). Note: "Total Housed" includes sentenced and/or detained offenders housed either in Vermont or out-of-state under Vermont jurisdiction.

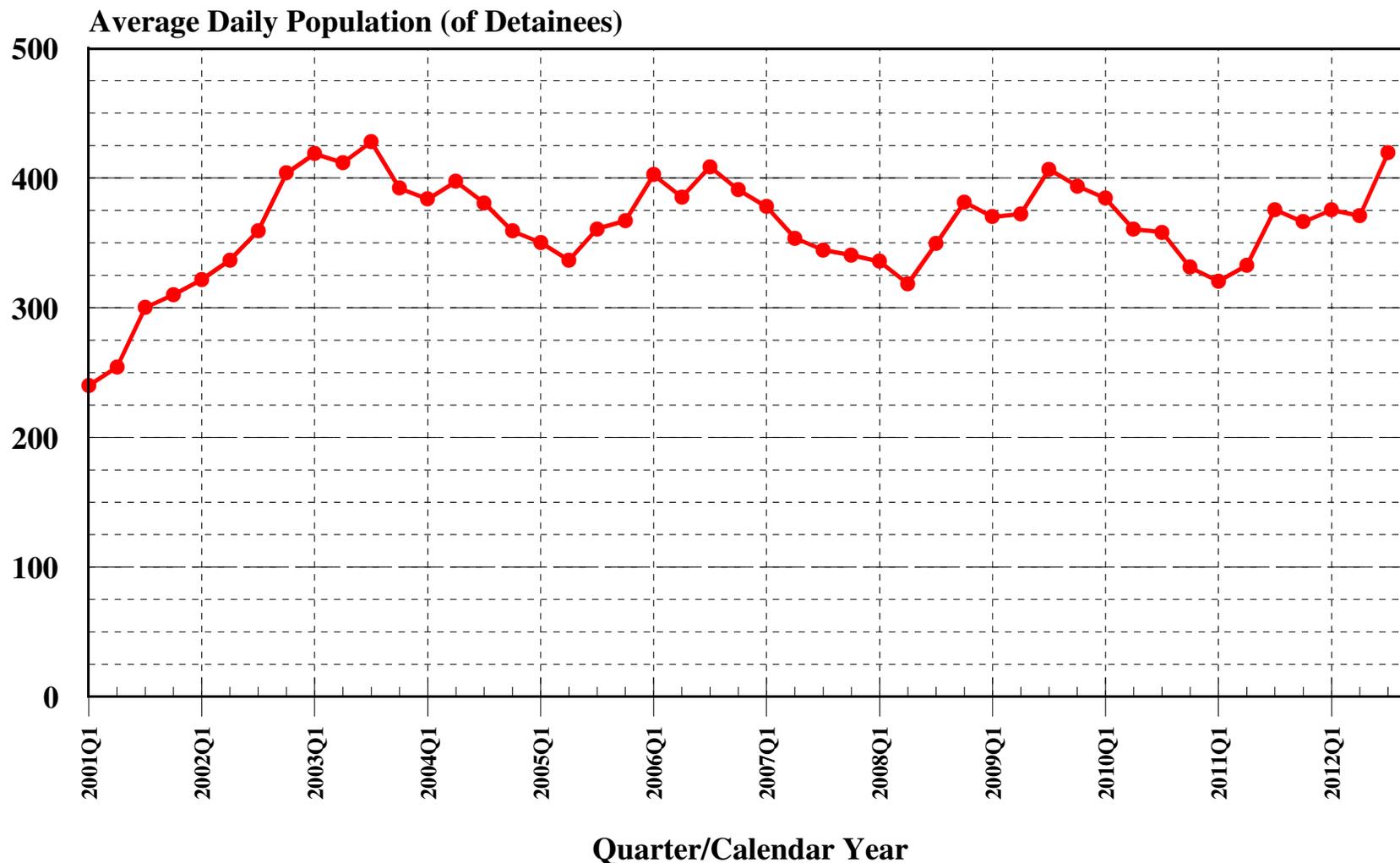
# Placement of Incarcerated Population

## Monthly Demand vs. Instate Capacity & Use of Contracted Beds



Source: VTDOC Monthly Population Statistics reports. Note: Housed population is sentenced and detained persons lodged in a correctional facility. Totals include inmates housed out-of-state with contracted vendors while under Vermont jurisdiction.

# Detention - Average Daily Population



Source: VTDOC Population Statistics (Popstat) database. These are persons without sentences held only for detention pending Court procedures (bail, preadjudication). This would include probationers held pending violation proceedings. Persons with simultaneously active sentences would receive credit for time served while held for further Court procedures and are not counted here.

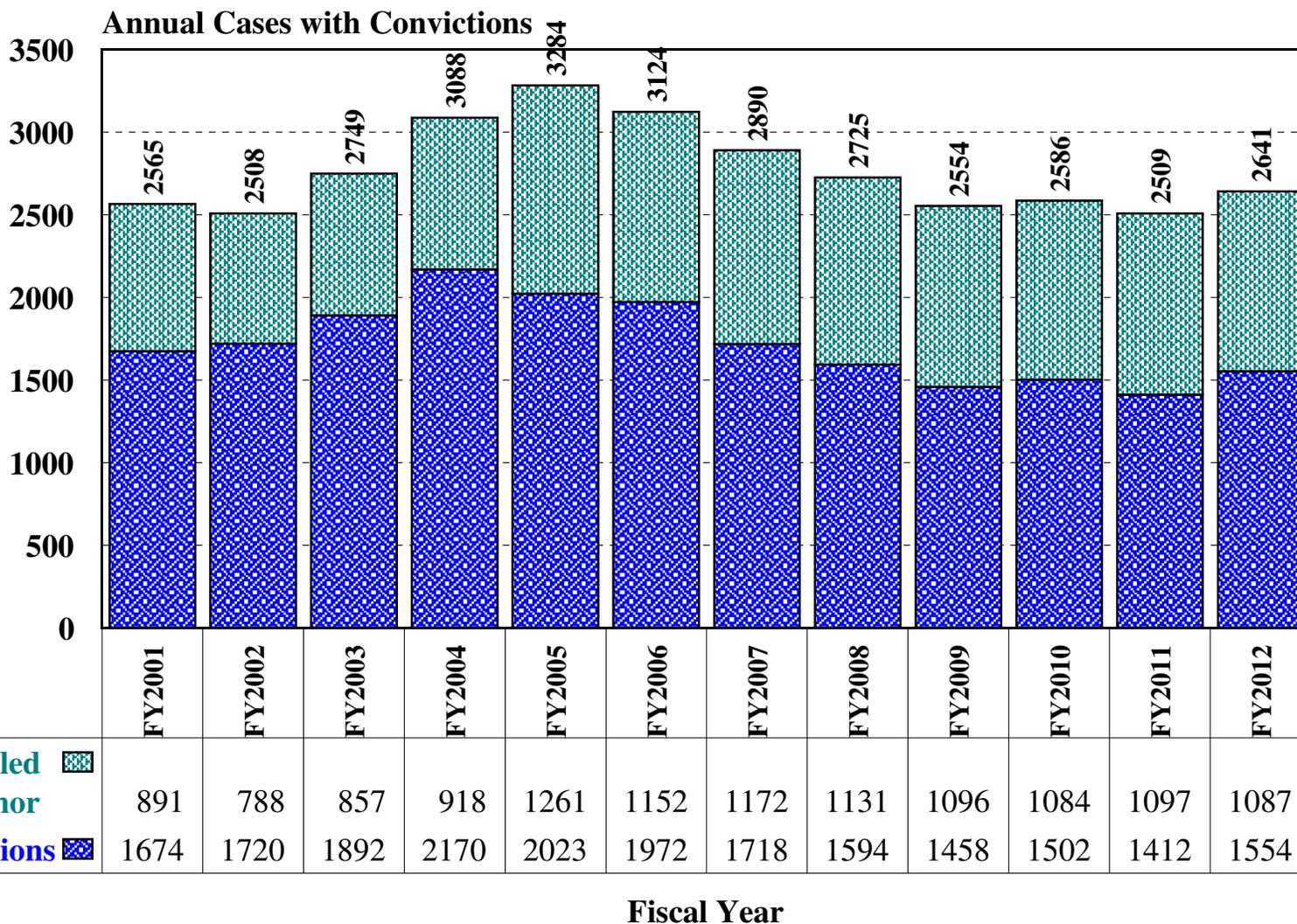
# Trends Summary - Decade & Year

## Historical Perspective

	Measure	FY2002	FY2011	FY2012	% Past Decade	% Past Year
<b>Unique</b>	<b>Persons Seen</b>	19,639	17,008	16,867	-14.1%	-0.8%
	<b>Incarceration</b>	1,750	2,129	2,102	20.1%	-1.3%
<b>Average Daily Population</b>	<b>Reentry (furlough)</b>	700	933	894	27.7%	-4.2%
	<b>Intermediate Sanctions</b>	637	1,076	1,088	70.8%	1.1%
	<b>Parole</b>	875	998	1,018	16.3%	2.0%
	<b>Probation</b>	8,959	5,876	5,642	-37.0%	-4.0%
	<b>Housed under OOS</b>	368	577	518	40.8%	-10.2%

# Vermont Felony (or Pled down) Convictions

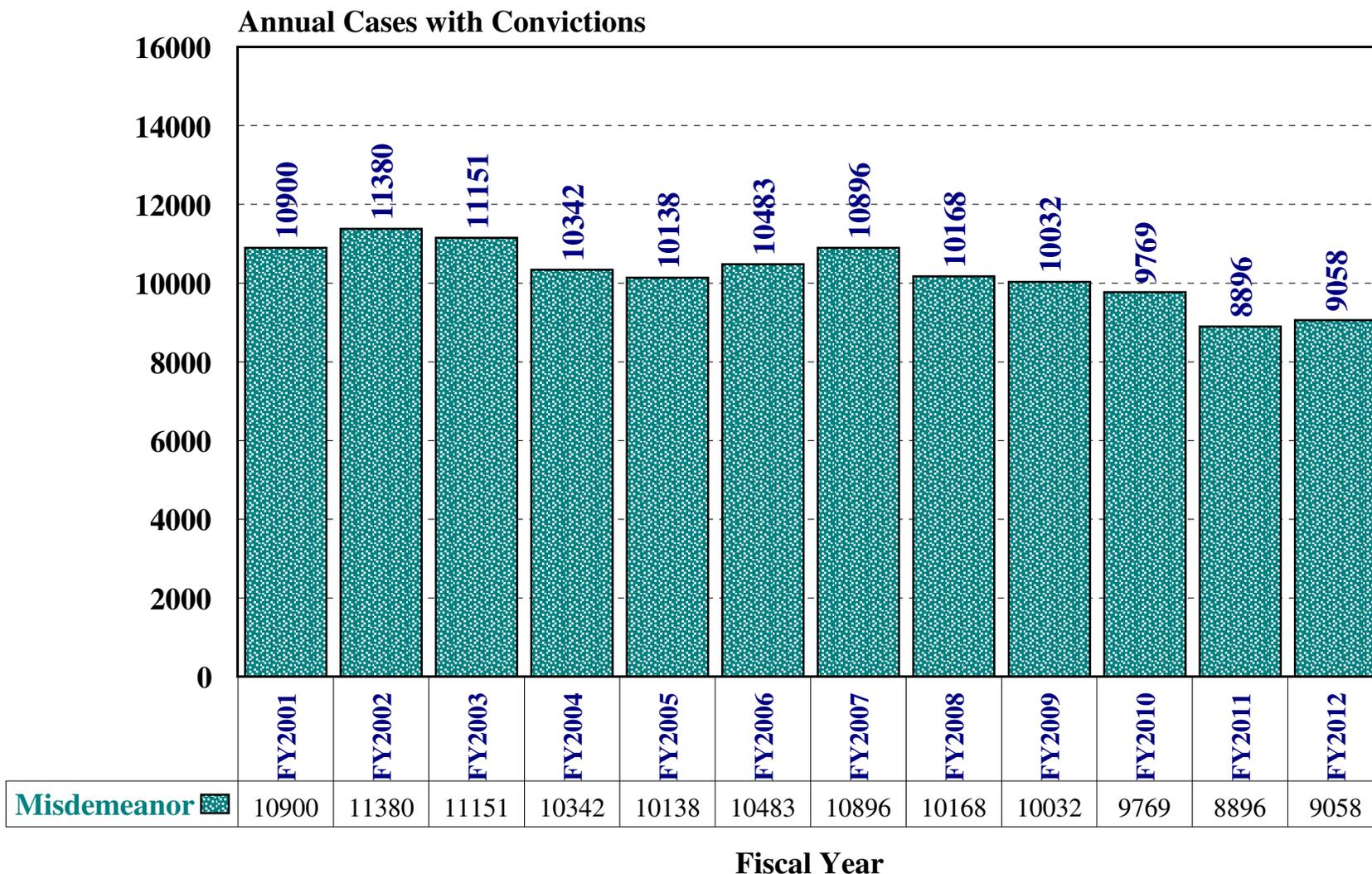
## Felony Convictions and Felonies Pled to Misdemeanor Convictions



Source: Annual Judicial Statistics Vermont Court Administrator: [www.vermontjudiciary.org](http://www.vermontjudiciary.org). Judiciary reports count “court cases” which may involve multiple charges and dockets filed, heard, and disposed together.

# Vermont Misdemeanor Convictions

## District Courts

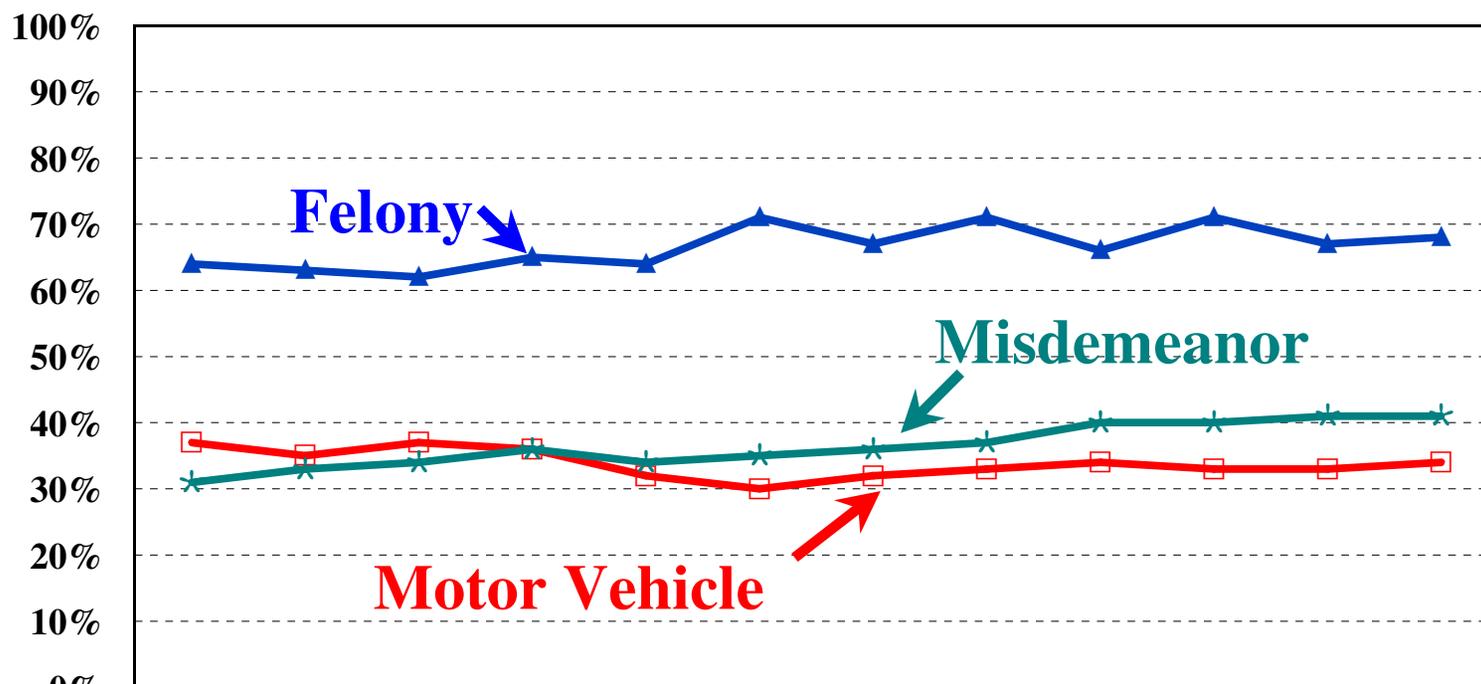


Source: Annual Judicial Statistics Vermont Court Administrator: [www.vermontjudiciary.org](http://www.vermontjudiciary.org). Judiciary reports count “court cases” which may involve multiple charges and dockets filed, heard, and disposed together.

Note: Does not include felonies pled to misdemeanor.

# Percent of Convictions Given Time to Serve

## “Time to Serve” in Prison or Community

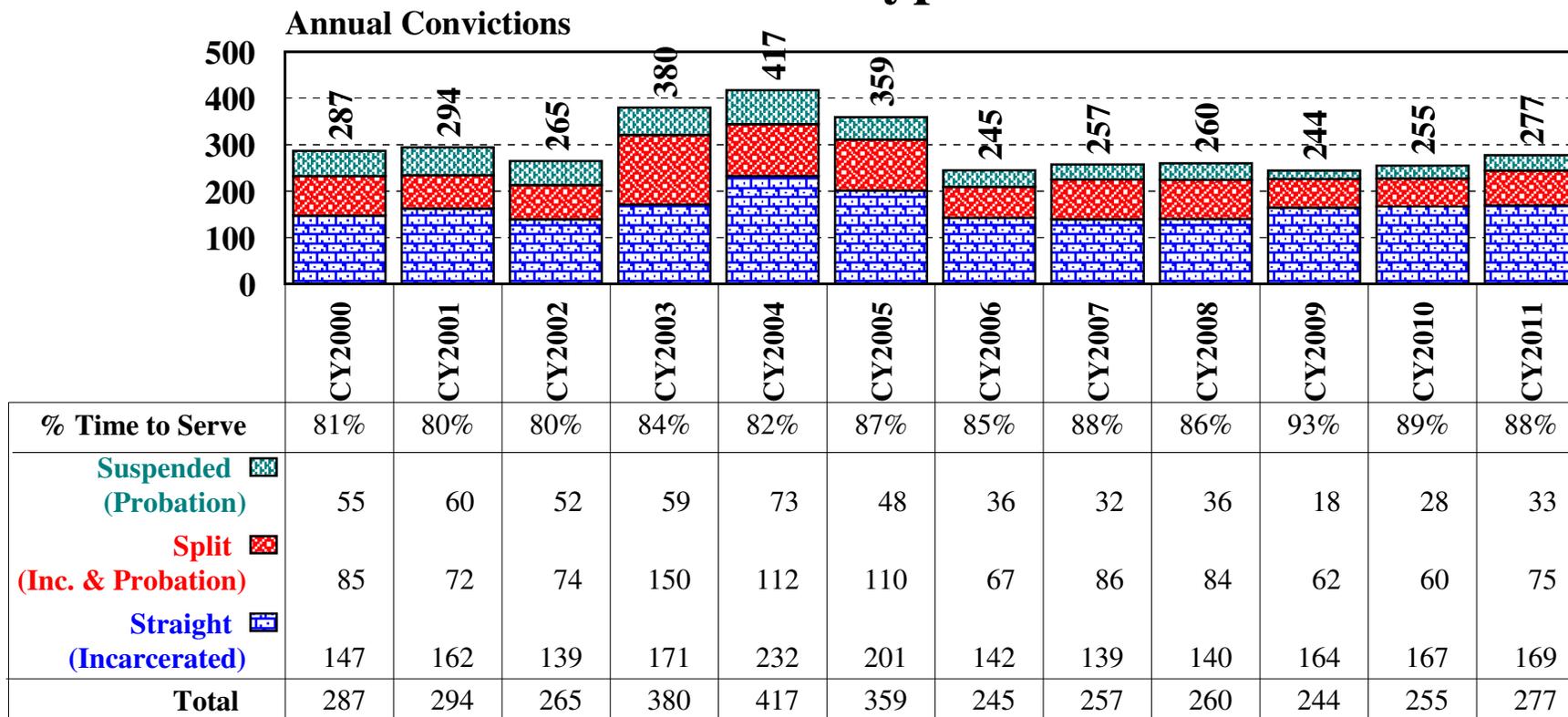


	CY2000	CY2001	CY2002	CY2003	CY2004	CY2005	CY2006	CY2007	CY2008	CY2009	CY2010	CY2011
<b>Felony</b> ▲	64%	63%	62%	65%	64%	71%	67%	71%	66%	71%	67%	68%
<b>Misdemeanor</b> ★	31%	33%	34%	36%	34%	35%	36%	37%	40%	40%	41%	41%
<b>Motor Vehicle</b> ◻	37%	35%	37%	36%	32%	30%	32%	33%	34%	33%	33%	34%

Calendar Year

Source: Vermont Center for Justice Research, annual updates. Counts of charges/dockets with Sentences imposed, NOT persons sentenced nor “court cases” involving multiple charges. Includes straight and split sentences. “Time to Serve” might be served in actual incarceration or under an Intermediate Sanction agreement with supervision in the community.

# Violent Felony Convictions Sentence Types



## Calendar Year

Source: Vermont Center for Justice Research, annual updates. Counts of charges/dockets with Sentences imposed, NOT persons sentenced nor “court cases” involving multiple charges.

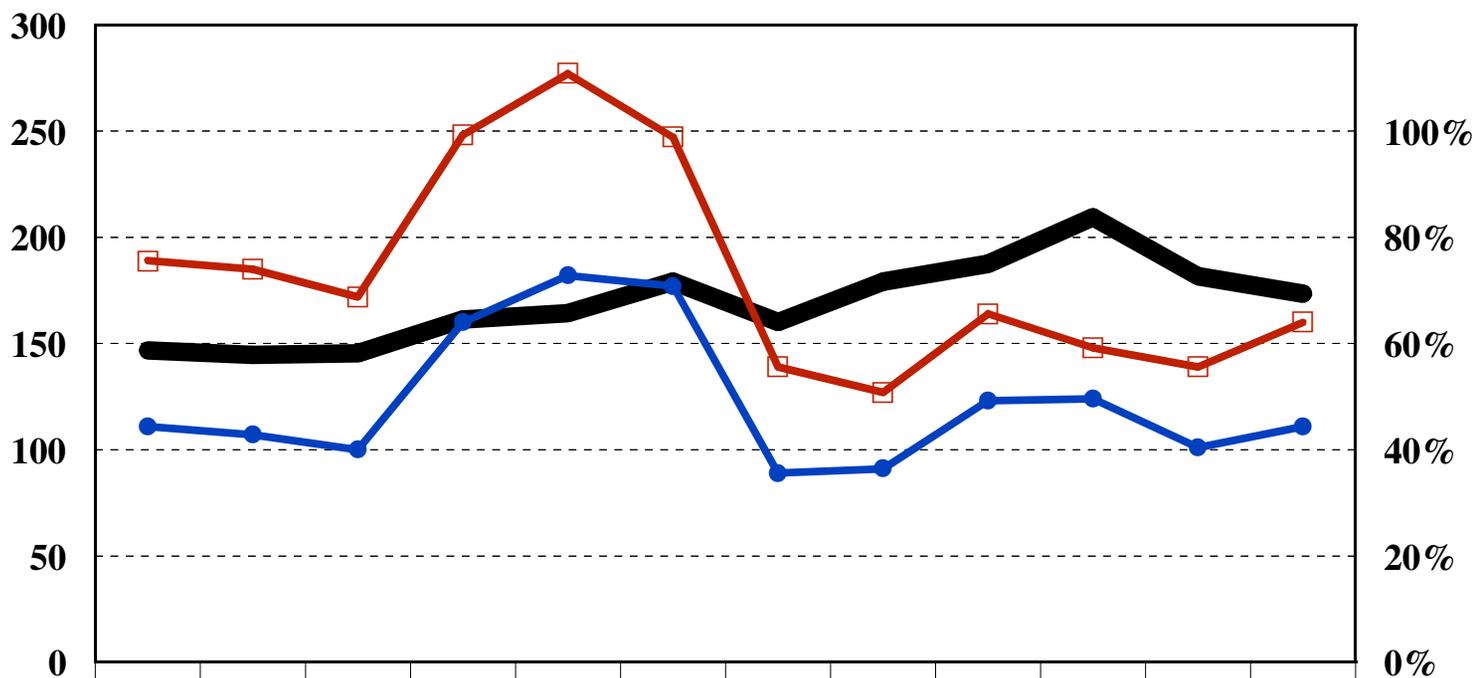
Note: Violent Felonies are crimes against persons that are classified as felonies.

Incarcerative ”time to serve” might be served in actual incarceration or under an Intermediate Sanction agreement with supervision in the community.

- "Suspended" sentences have none of the underlying sentence imposed immediately and commit the person directly to probation supervision only. Poor performance under such supervision might lead to imposition of the sentence later.
- "Split" sentences are terms of incarceration followed by probation; the offender is not paroled.
- "Straight" sentences have minimum and maximum terms; the offender may be released on community reentry program and/or parole.

# Sex Offenders

## Convictions & Sentences to Time to Serve

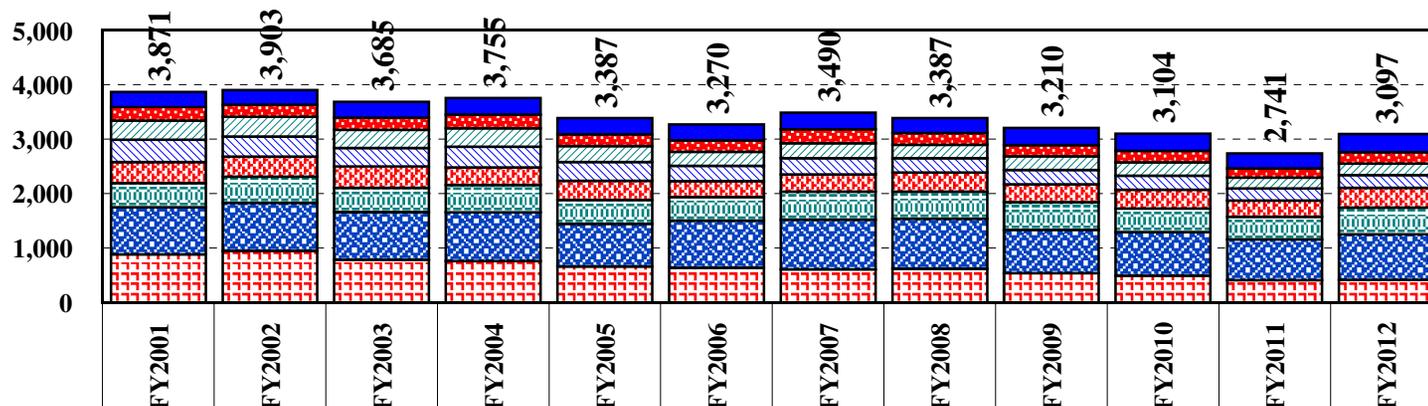


	CY2000	CY2001	CY2002	CY2003	CY2004	CY2005	CY2006	CY2007	CY2008	CY2009	CY2010	CY2011
<b>Total Convictions</b>	189	185	172	248	277	247	139	127	164	148	139	160
<b>Number Sentenced</b>	111	107	100	160	182	177	89	91	123	124	101	111
<b>% Time to Serve</b>	59%	58%	58%	65%	66%	72%	64%	72%	75%	84%	73%	69%

**Calendar Year**

Source: Vermont Center for Justice Research, annual updates. Counts of Charges with convictions, NOT number of people convicted. “Sentenced” refers to persons receiving straight or split sentences (unsuspended, i.e. not probation) which may have been served either in incarceration or an intermediate sanctions program in the community.

# New First Time Entrants to Corrections - Age Earliest Booking Slips



	FY2001	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007	FY2008	FY2009	FY2010	FY2011	FY2012
<b>% &gt;50yrs old</b>	7.3	6.9	7.8	8.1	8.7	8.9	8.7	8.1	10.0	10.3	10.1	10.8
<b>% &lt;=25yrs old</b>	45.2	47.0	45.2	44.2	42.7	46.0	43.6	45.5	41.7	41.8	42.2	40.4
<b>&gt;50</b>	282	269	289	305	293	290	303	276	320	319	277	334
<b>45-50</b>	248	223	224	248	220	208	260	212	204	209	166	209
<b>40-45</b>	348	362	330	338	292	260	274	244	251	246	199	211
<b>35-40</b>	412	368	340	383	343	281	298	267	261	254	225	233
<b>30-35</b>	385	367	391	322	355	292	315	351	333	345	299	364
<b>25-30</b>	445	480	447	499	439	435	517	497	504	435	418	494
<b>20-25</b>	865	883	879	896	779	867	912	916	795	804	743	836
<b>&lt;=20</b>	886	951	785	764	666	637	611	624	542	492	414	416
<b>Avg Age Male</b>	30.7	30.0	30.5	30.9	31.3	30.6	31.3	30.4	31.4	31.3	31.3	31.7
<b>Avg Age Female</b>	30.4	29.9	31.0	30.8	30.7	30.6	31.2	30.5	31.6	32.4	31.7	32.1
<b>Avg Age Field</b>	30.1	29.3	30.1	30.4	30.5	30.1	30.3	29.8	30.8	31.1	31.2	31.6
<b>Avg Age Incarcerated</b>	31.6	31.2	31.3	31.5	31.9	31.3	32.4	31.1	32.1	32.1	31.7	32.2

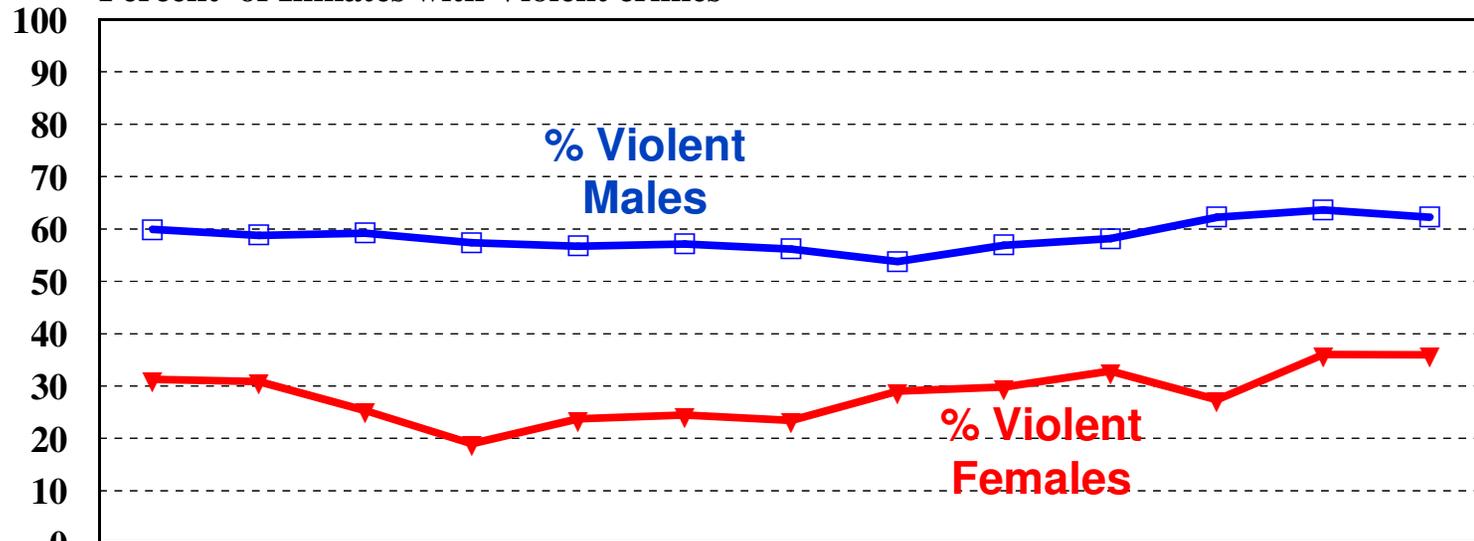
## Fiscal Year

Source: VTDOC Booking Slips database. First time entrants are offenders who are placed on supervision or lodged and who have no earlier record (still extant in VTDOC's computerized data) of incarceration or supervision by the Vermont Department of Corrections. Age was calculated in fractional years at date of first booking; i.e. a person 20 years and one day old would be in the 20-25 years old bracket.

# Incarcerated Population

## Violent Male vs. Violent Female

Percent of Inmates with Violent crimes



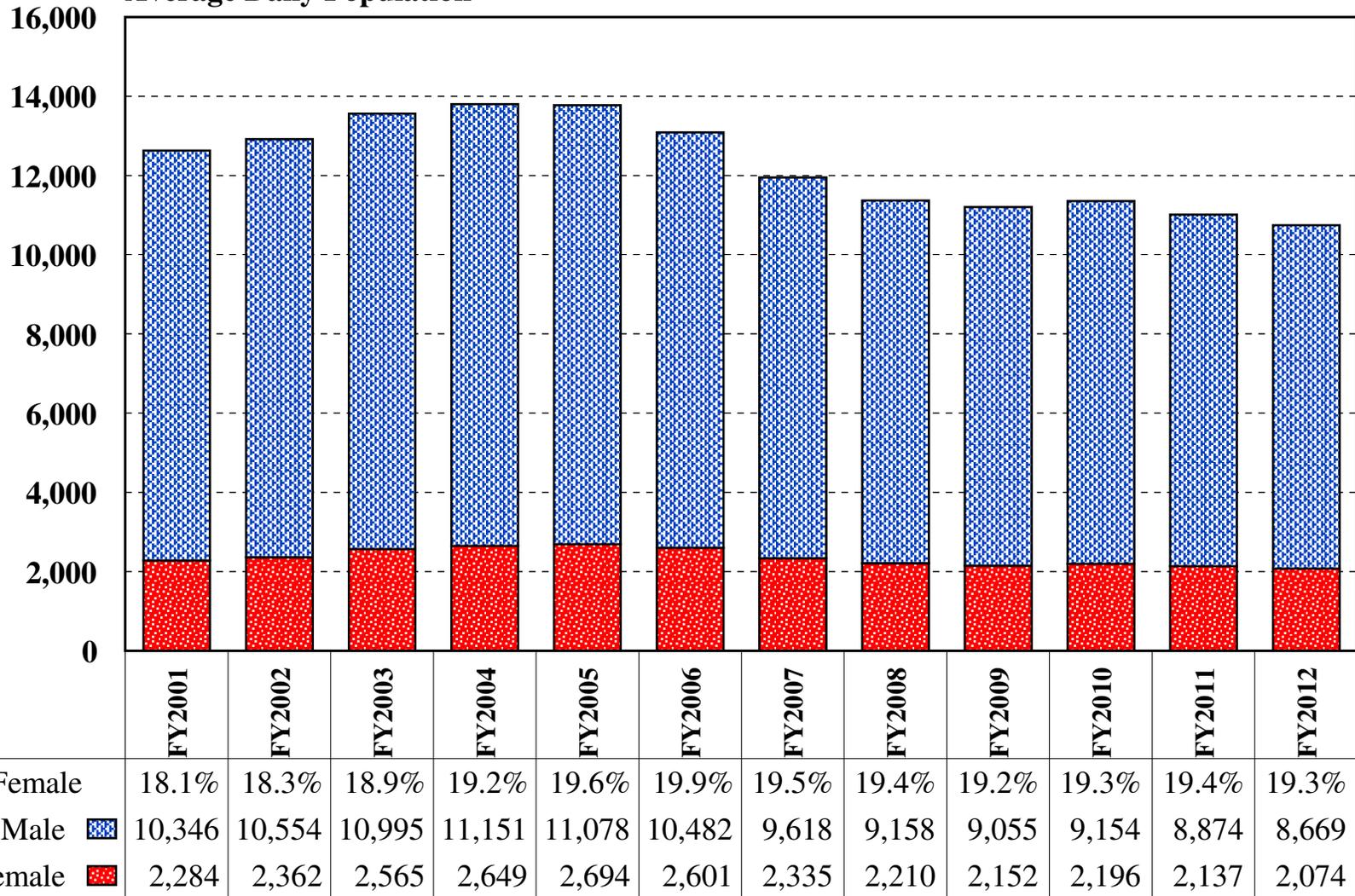
	June 2000	June 2001	June 2002	June 2003	June 2004	June 2005	June 2006	June 2007	June 2008	June 2009	June 2010	June 2011	June 2012
<b>Violent Male</b>	932	974	981	1045	1061	1031	1094	1074	1088	1207	1251	1222	1194
<b>NonViolent Male</b>	624	684	677	776	810	773	855	924	824	868	760	699	725
<b>%Violent Male</b> ☐	59.9%	58.7%	59.2%	57.4%	56.7%	57.2%	56.1%	53.8%	56.9%	58.2%	62.2%	63.6%	62.2%
<b>Violent Female</b>	25	29	21	27	33	33	38	43	45	45	37	58	55
<b>NonViolent Female</b>	55	65	62	115	106	102	124	105	106	92	98	103	98
<b>%Violent Female</b> ▼	31.3%	30.9%	25.3%	19.0%	23.7%	24.4%	23.5%	29.1%	29.8%	32.8%	27.4%	36.0%	35.9%

Mid-Year (June)

Source: VTDOC Daily Snapshot on June 30th each year. A “violent crime” was defined here as a “Serious Felony” or a felony or misdemeanor against a person. Counts include both sentenced and unsentenced inmates with recorded charges (i.e. Inmates without recorded charges are dropped from the denominator). “Violent Felons” are about 90% of all “violent inmates”.

# Gender of Total Correctional Population

Average Daily Population

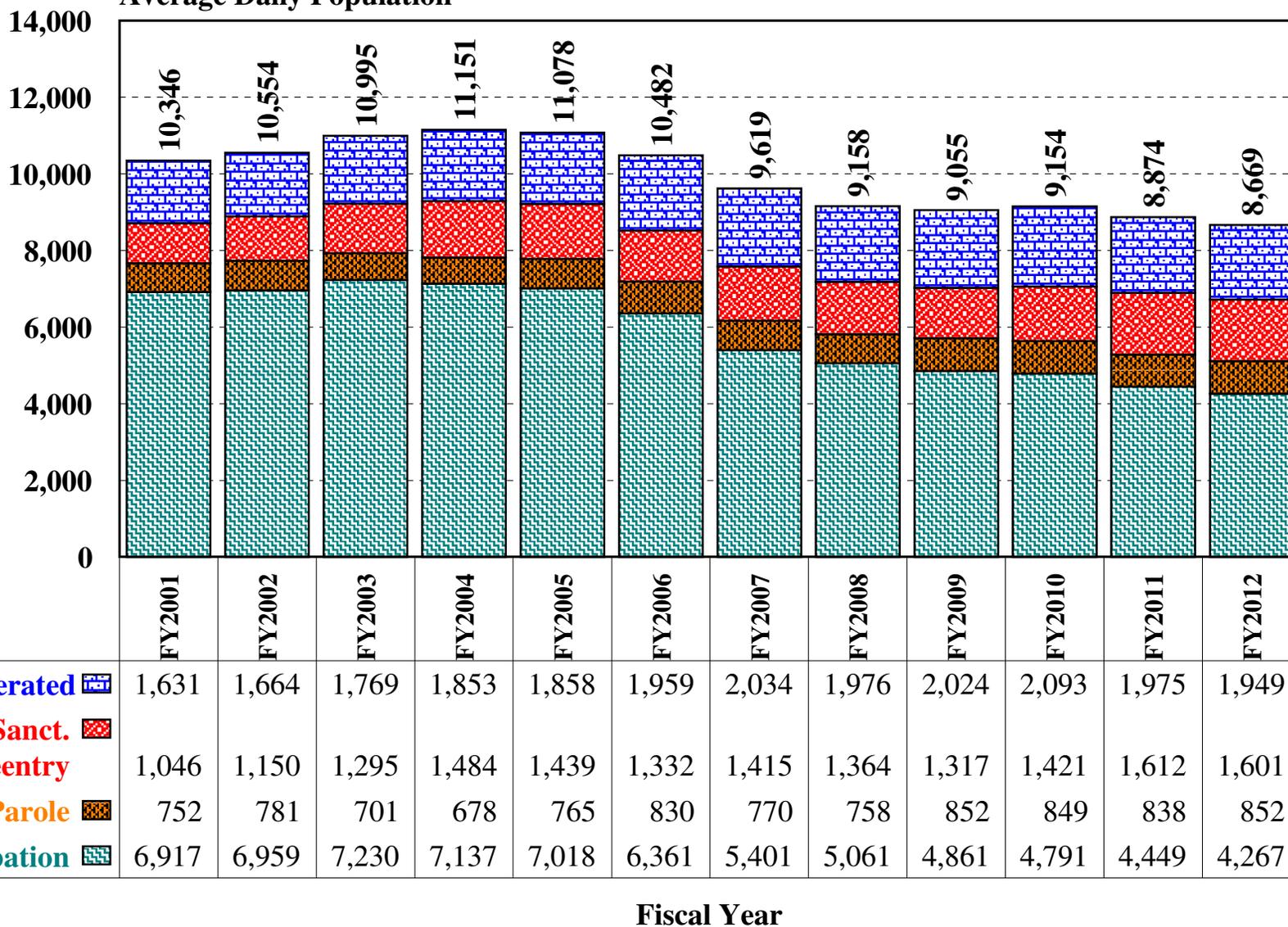


Fiscal Year

Source: VTDOC Daily Snapshot. Persons are counted only once per day at the most restrictive status.

# Total Male Correctional Population

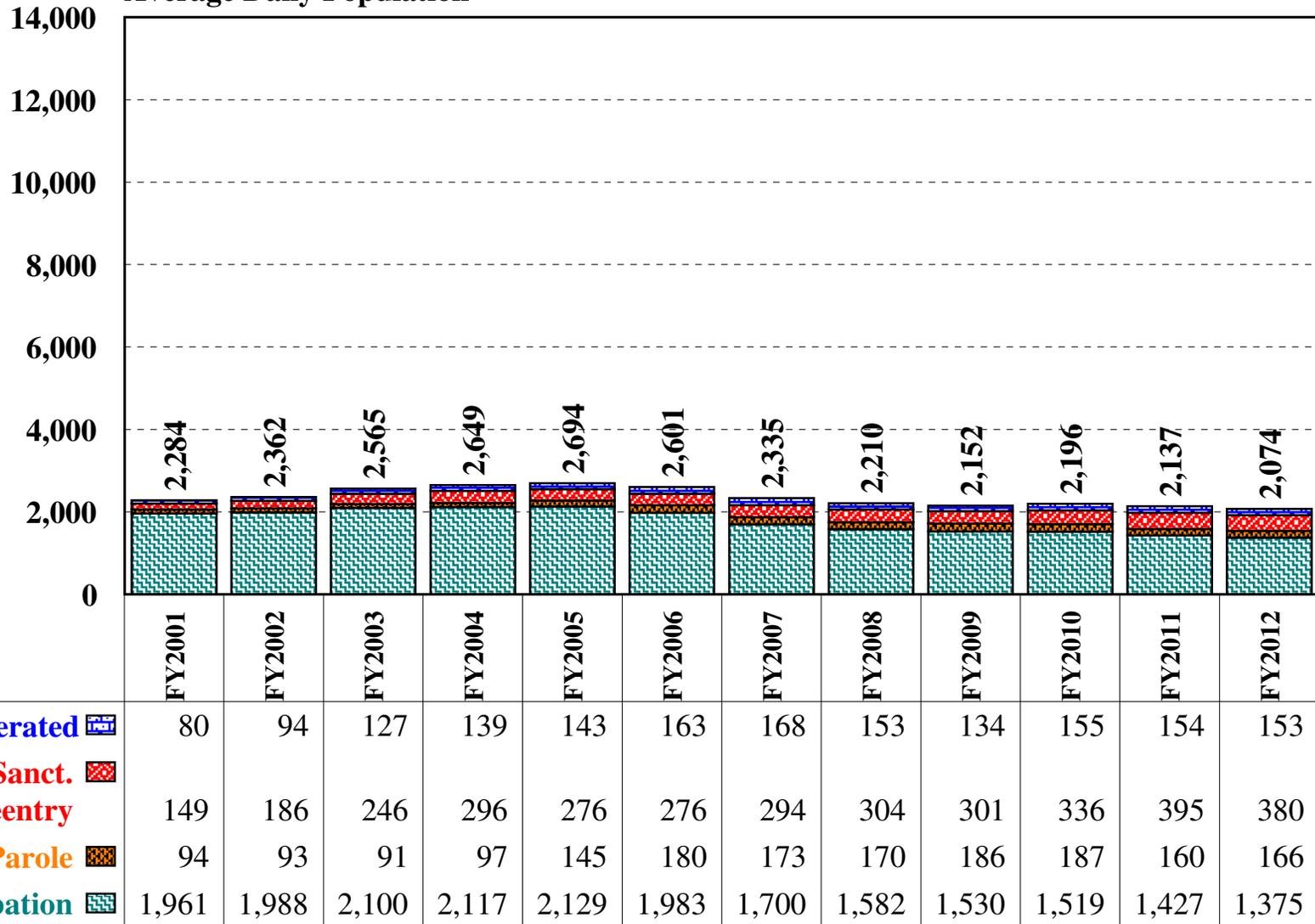
Average Daily Population



Source: VTDOC Daily Snapshot. Persons are counted only once per day at the most restrictive status.

# Total Female Correctional Population

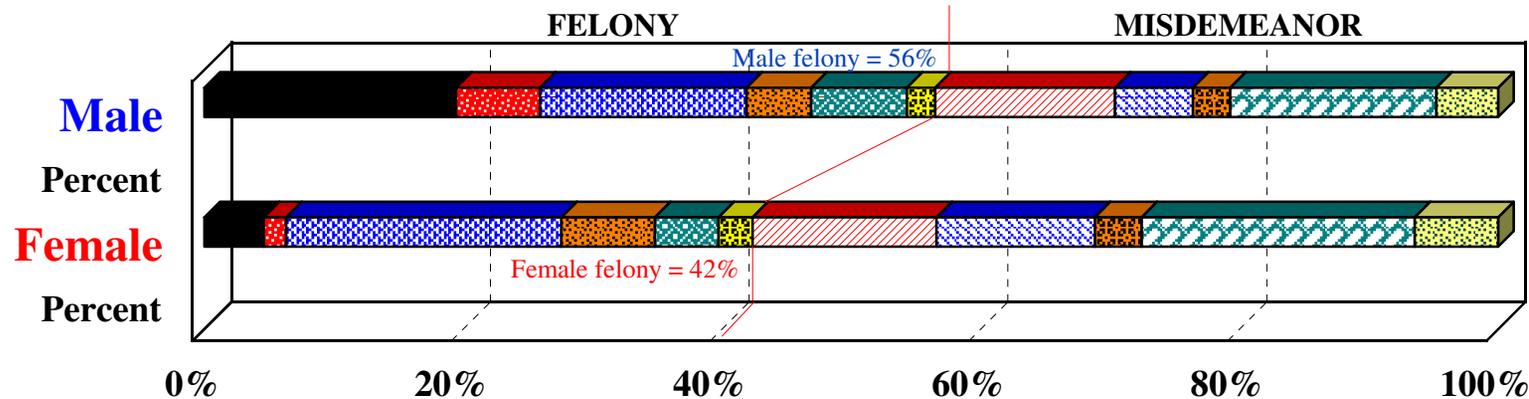
Average Daily Population



Fiscal Year

Source: VTDOC Daily Snapshot. Persons are counted only once per day at the most restrictive status.

# Offense Type Proportion by Gender

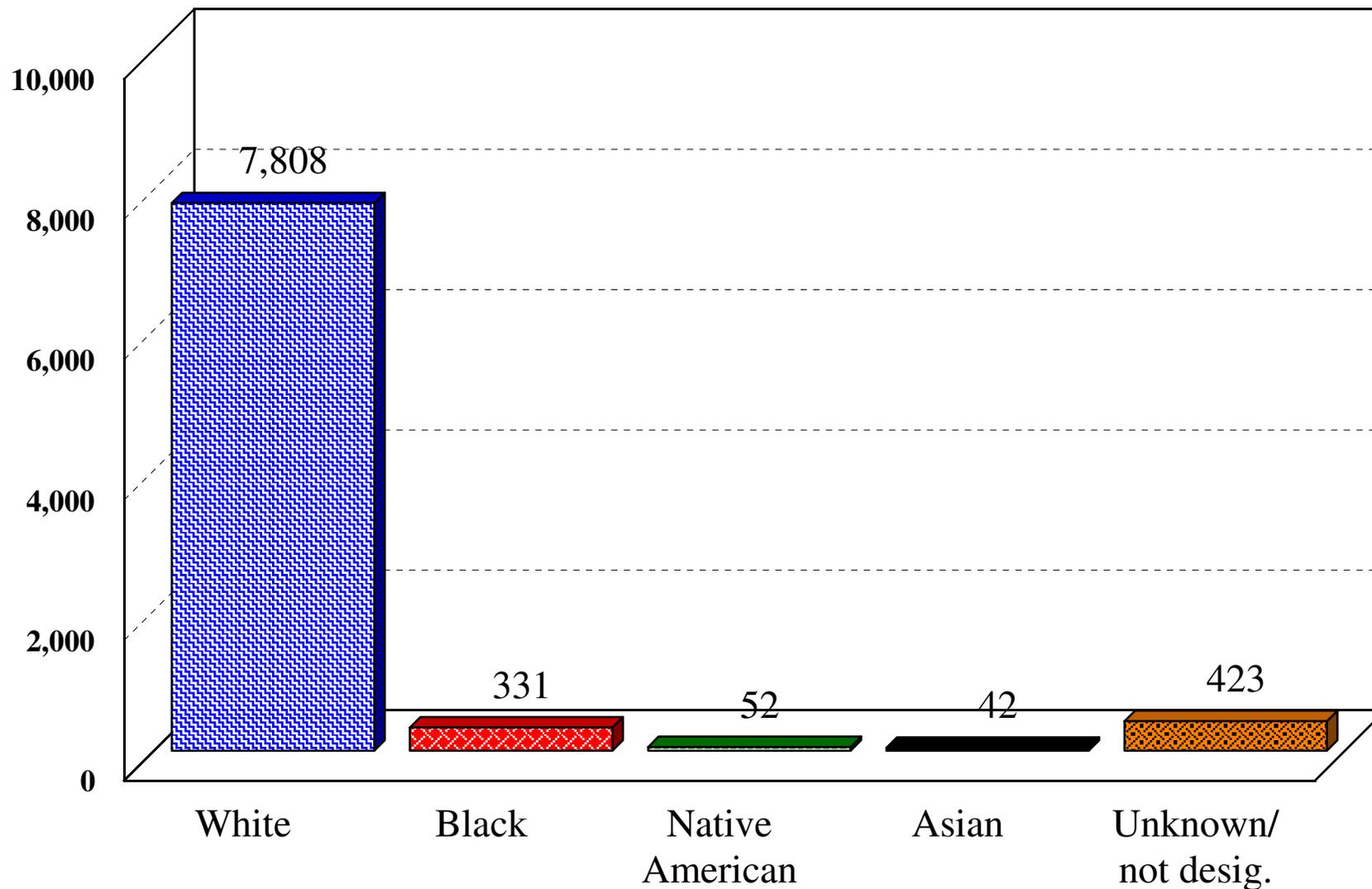


	Male	Percent	Female	Percent
<b>Felony Serious</b> ■	1671	19 %	93	5 %
<b>Felony Person</b> ■	558	6 %	35	2 %
<b>Felony Property</b> ■	1372	16 %	429	21 %
<b>Felony Drug</b> ■	427	5 %	146	7 %
<b>Fel Motor Vehicle</b> ■	637	7 %	99	5 %
<b>Felony Other</b> ■	187	2 %	53	3 %
<b>Misd. Person</b> ■	1194	14 %	287	14 %
<b>Misd. Property</b> ■	517	6 %	247	12 %
<b>Misd. Drug</b> ■	248	3 %	73	4 %
<b>Misd. Motor Vehicle</b> ■	1369	16 %	426	21 %
<b>Misd. Other</b> ■	409	5 %	130	6 %
<b>Total</b>	<b>8589</b>		<b>2018</b>	

Source: VTDOC Snapshot database, June 30, 2012, but excludes persons with unknown or uncategorized “most serious crimes” (101 males, 23 females). Incarcerated and Field (Reentry, Home Confinement/Detention, Intermediate Sanctions, Probation, and Parole) combined. Persons with multiple placements were only counted once.

# Racial Composition Under Field Supervision

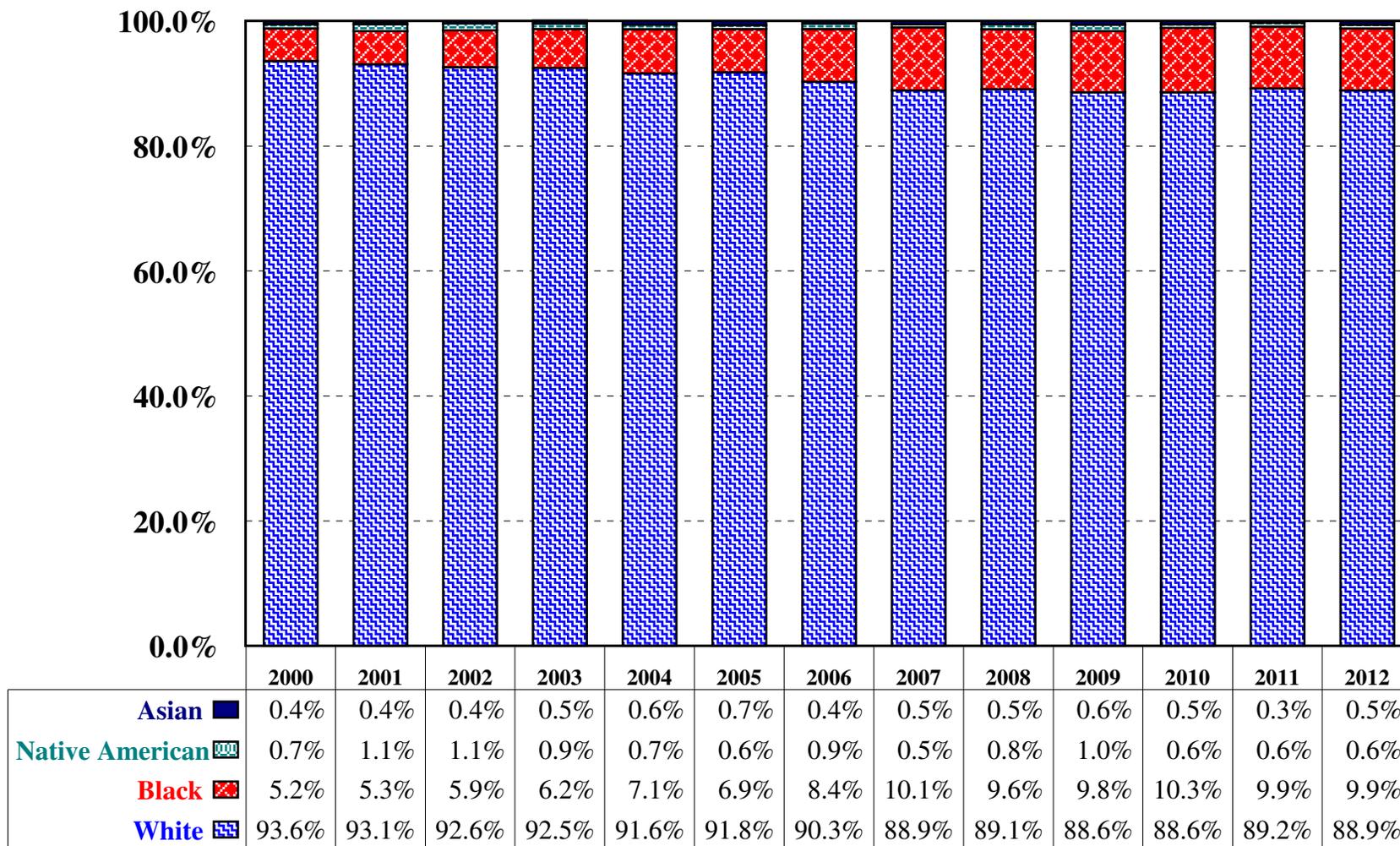
## Probation, Parole, Intermediate Sanction, Reentry - June 30, 2012



Source: VTDOC Snapshot database, June 30, 2012. Persons with multiple statuses are counted only once.

# Racial Composition of Inmates

## Proportion of Population in Junes

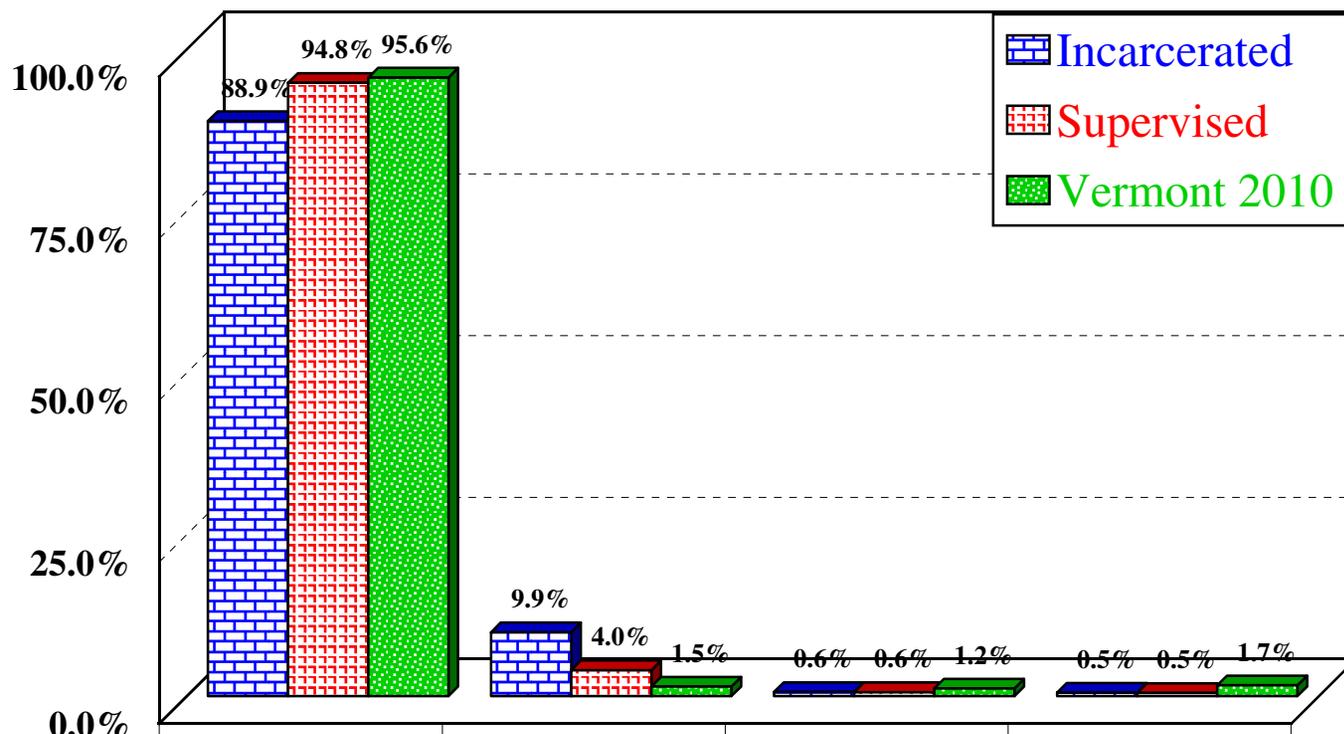


Midyear (June)

Source: VTDOC Daily Snapshot (June 30th each year). Persons with Unknown or unrecorded race have been excluded in the calculation of proportion.

# Percentage Racial Composition

## Incarcerated vs Field Supervised Populations (vs all Vermont)

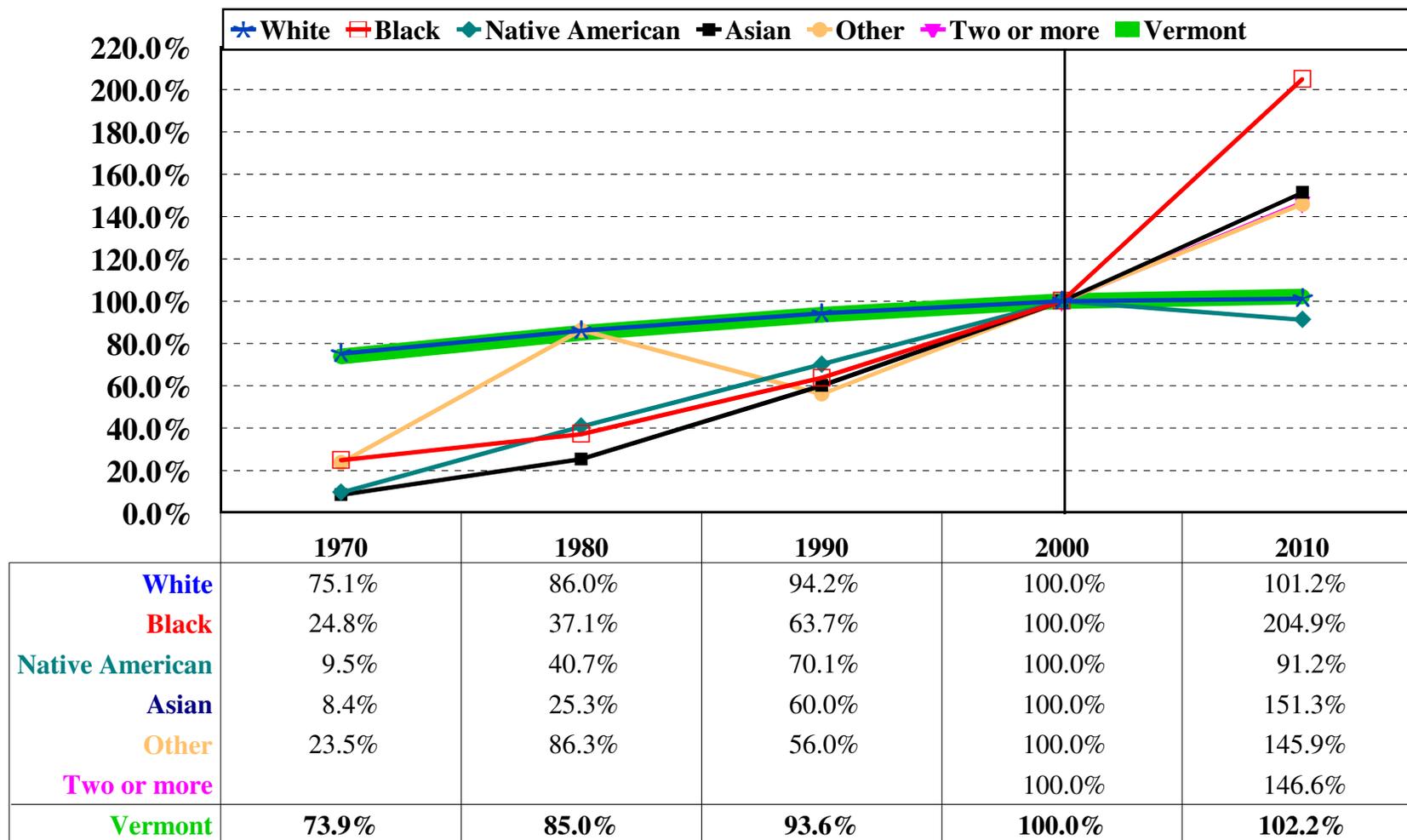


	White	Black	Native American	Asian
<b>Incarcerated</b>	1790	199	13	11
<b>Field Supervised</b>	7808	331	52	42
<b>Vermont 2010 Census estimate</b>	596292	9343	7379	10463
<b>% in Corrections on sample date</b>	1.6%	5.7%	0.9%	0.5%

Source: VTDOC Snapshot database, June 30, 2012; Percents do not include unknown and not designated in DOC population (5.0%). The “White” count is those identifying with a single race. The non-White counts include those identified in the U.S. Census as a single race or multiracial with the race as a component. Persons with multiple DOC statuses are counted only once.

# Change in Racial Composition of Vermont Residents

## Racial Components Indexed to 2000 Census Populations

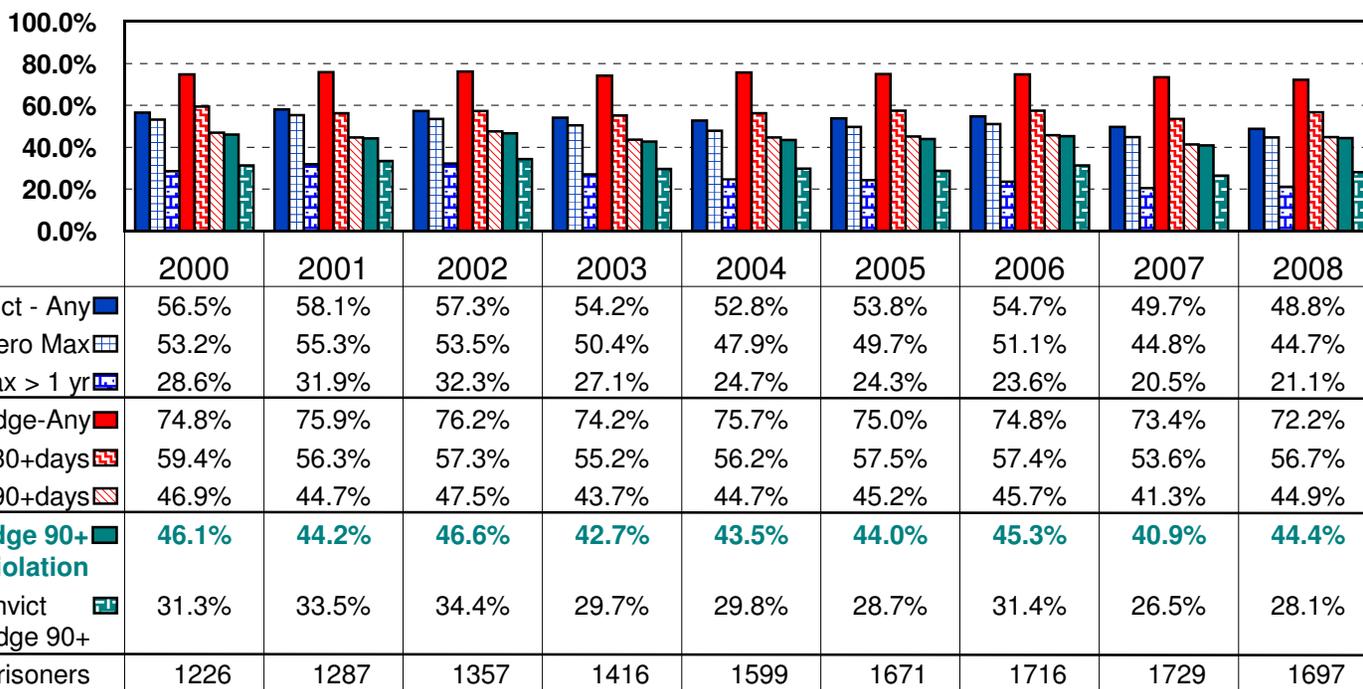


Source: US Census Bureau, (1790-1990, <http://www.census.gov/population/www/documentation/twps0056/tab60.xls>; and 2000 and 2010 Vermont Quickfacts). The Census Bureau began asking “Two races or more” in 2000. In Vermont’s 2010 census, 42% of multiracial were White and Native American, 22% were White and Black, and 20% were White and Asian (totally 84% in these three groups).

# Evolution of a ‘Prisoner Relodging Measure’

## Various ‘Recidivism’ Measures for Prisoners

Vermont ‘prisoners’ released in 2000-2008 and followed for 3 years in Court disposition records and/or Corrections inmate daily housing records.



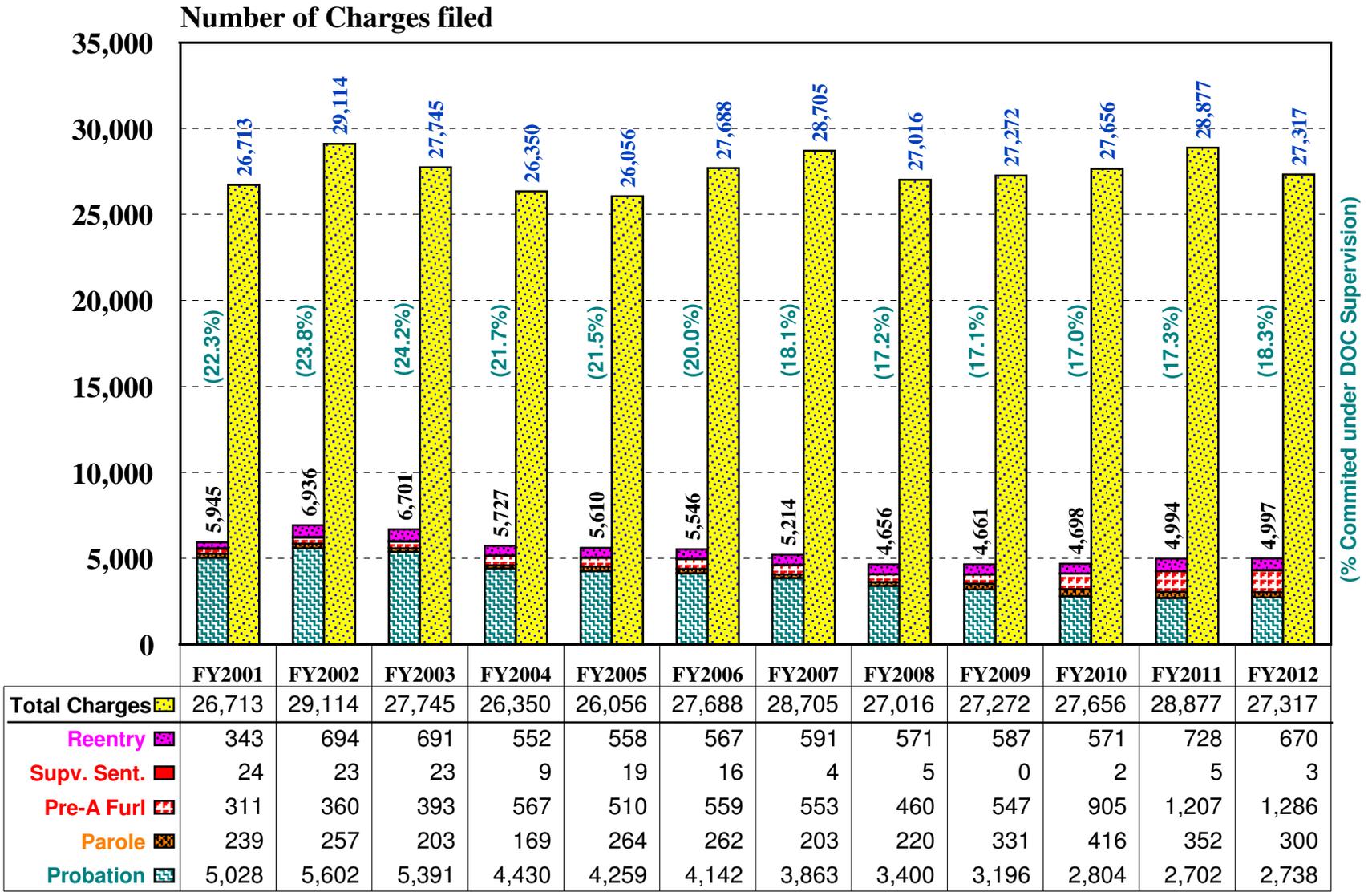
Note: Definition for prisoner is a person sentenced to serve (maximum) more than one year (US Department of Justice, Bureau of Justice Statistics).

While the traditional ‘Reconviction for a new offense committed within three years’ does address the frequency of criminal reoffending rather directly, it does not consider the variability in the criminal justice responses and impacts that affect future Corrections costs. In general, a conviction in Vermont District Court frequently (about 75% of the time) is not associated with a sentence with ‘time to serve’ (i.e. only a fine or suspended sentence); however, when a defendant with a previous prison sentence is convicted, 93% of the time there is a ‘non-zero’ maximum sentence to serve. But only about half of those imposed maximum sentences are longer than one year (and the minimum sentences may be much shorter (or even zero)). Thus, on average, only about one-in-four prisoners receive a new ‘prison sentence’ for an offense committed within three years of their release.

Relodging rates on the other hand, are directly addressing the impact (and expense) on Corrections resources, whether due to responses to misbehaviors violating release agreements (that may not be ‘crimes’) or further sentencing by the Courts for new crimes. The ‘any relodging within three years’ rate (75%) is very high, but many such relodgings are relatively brief disciplinary ‘refreshers’. Only about 75% of relodged prisoners stay more than a month and only about 60% stay three months or more.

Nearly all relodgings for 90 days or more are associated either (or both) a violation of existing supervision conditions or conviction for a new crime. **The Act 41 Section 5b1 defined ‘prisoner relodging rate’ for Vermont prisoners has averaged 44.1% during this 9-year period.**

# New Charges under Supervision vs. Total Charges in Vermont Court

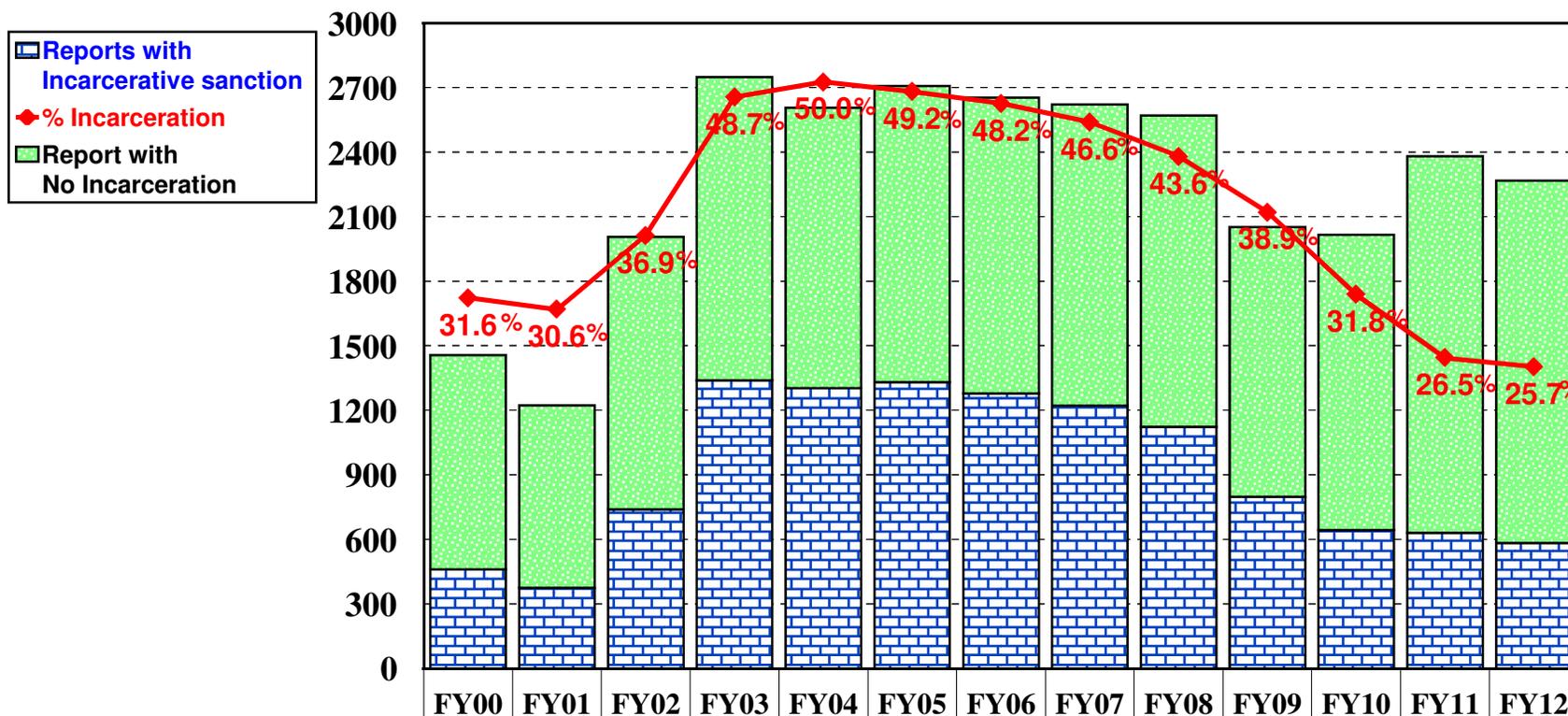


**Fiscal Year of offense date (not arraignment)**

Source: Vermont District Court Filings data download (as of October 2012) matched to VTDOC offender identities. Supervision status is assessed for the date the offense was committed.

# Graduated Sanctions Incarcerated

## All sites

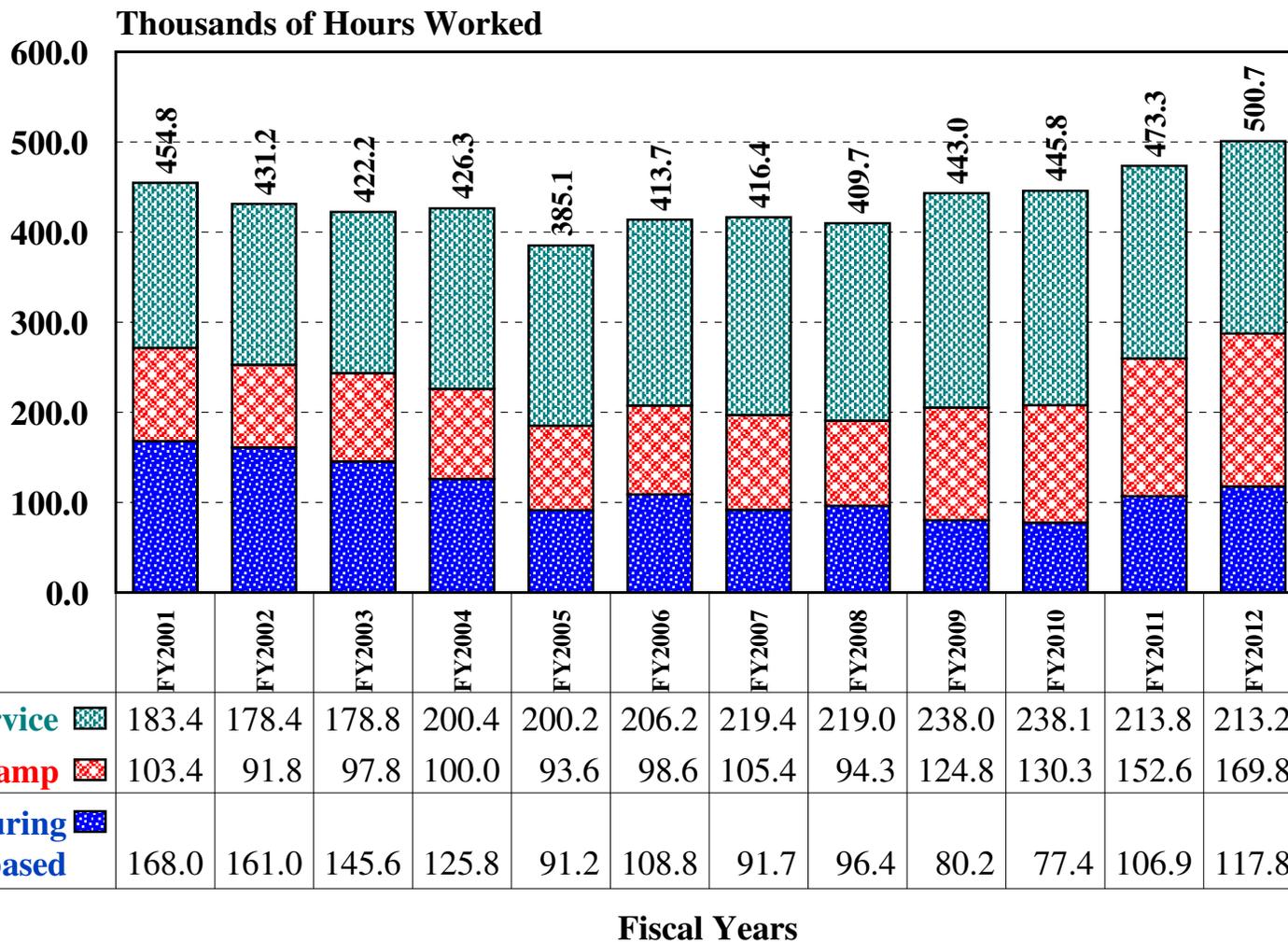


	FY00	FY01	FY02	FY03	FY04	FY05	FY06	FY07	FY08	FY09	FY10	FY11	FY12
<b>Reports with Incarcerative sanction</b>	460	374	740	1338	1303	1331	1278	1221	1122	798	642	630	583
<b>Total Reports with any sanction(s)</b>	1456	1223	2006	2748	2606	2708	2654	2622	2571	2052	2016	2381	2268
<b>Avg Incarcerative sanction days</b>	20	13	11	11	10	10	9	9	10	10	9	8	7

Fiscal Years

Source: VTDOC Graduated Sanctions database.

# Returning Value Offender Work Hours



Source: Vermont Correctional Industries (VCI) Work service reports; Community & Work Camp from VTDOC WorkService database. The second work camp opened in January 2009.

# Community Involvement

Measure	FY2012	Comments
<b>Reparative Probation panels completed</b>	640	DOC-facilitated for persons with suspended probation sentence (with reparative condition)
<b>Community Justice Center/Program cases handled</b>	1,780	Includes many "direct to community" offenders without DOC involvement
<b>Direct services to victims</b>	1,295	Personal assistance with victim participations and needs
<b>Victims registered for notification to date</b>	15,559	Vermont Automated Notification System (VANS)
<b>Automated Victim notices</b>	39,361	VANS email & phone
<b>Transitional Housing (bed-days)</b>	60,570	Up to 166 fewer incarceration beds needed
<b>Reentry offender assisted</b>	952	Housing, housing searches, and/or release money
<b>Hours of work value returned</b>	500,700	Work crews in community plus institutional industries

# Communities Are Involved

~680 Volunteers; 79 reparative boards and community panels; 32 Host Towns  
**(17 Community Justice Centers (CJC)/Restorative Programs(RP); 3 Diversion Programs)**

## Grand Isle:

Grand Isle

## Franklin:

Highgate  
Richford  
St. Albans CJC

## Lamoille:

Johnson  
Morrisville  
Stowe

## Chittenden:

Burlington CJC  
Colchester  
Essex CJC  
Milton  
Shelburne  
S. Burlington CJC  
Williston RP  
Winooski CJC

## Addison:

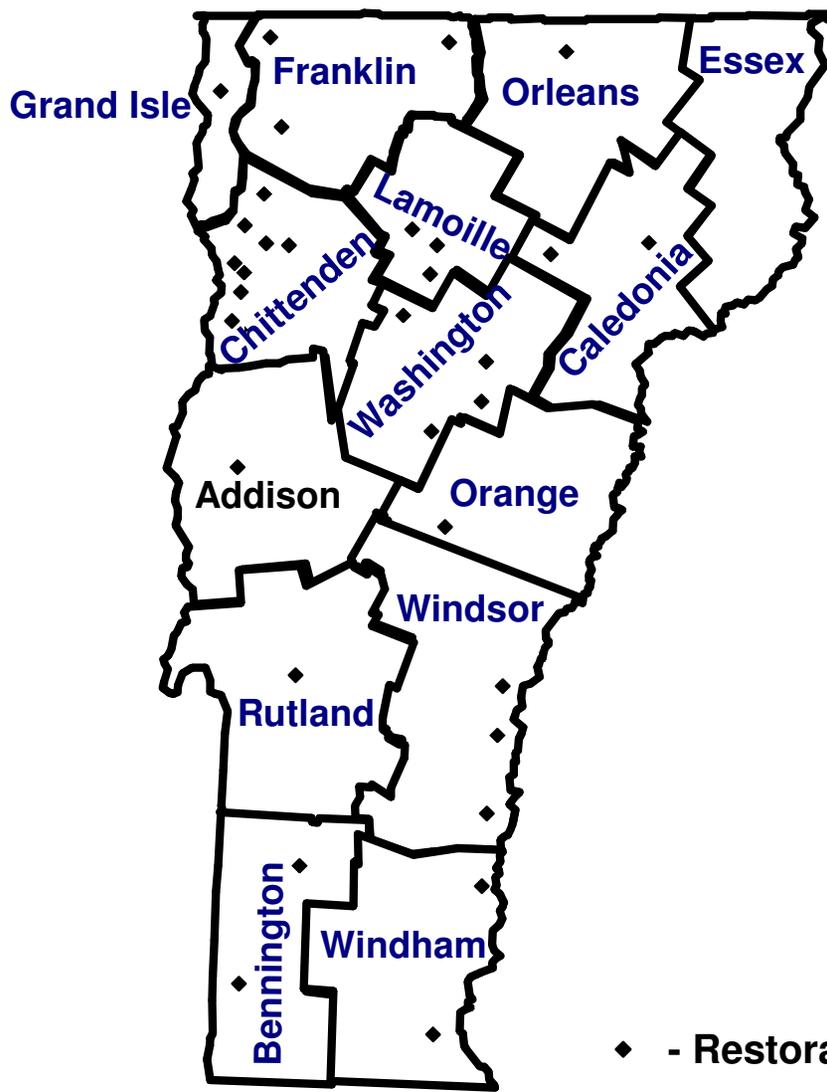
Middlebury

## Rutland:

Rutland CJC

## Bennington:

Bennington CRJ  
Manchester



## Orleans:

Newport CJC

## Caledonia:

Hardwick-Greensboro RP  
St. Johnsbury CJC

## Washington:

Barre CJC  
Montpelier CJC  
Northfield  
Waterbury

## Orange:

Randolph RP

## Windsor:

Hartford CJC  
Springfield CJC  
Windsor

## Windham:

Bellows Falls RP  
Brattleboro CJC

◆ - Restorative Services host town

# Reentry & Housing Assistance - FY2012

Vermont Dept  
Corrections  
11/16/12

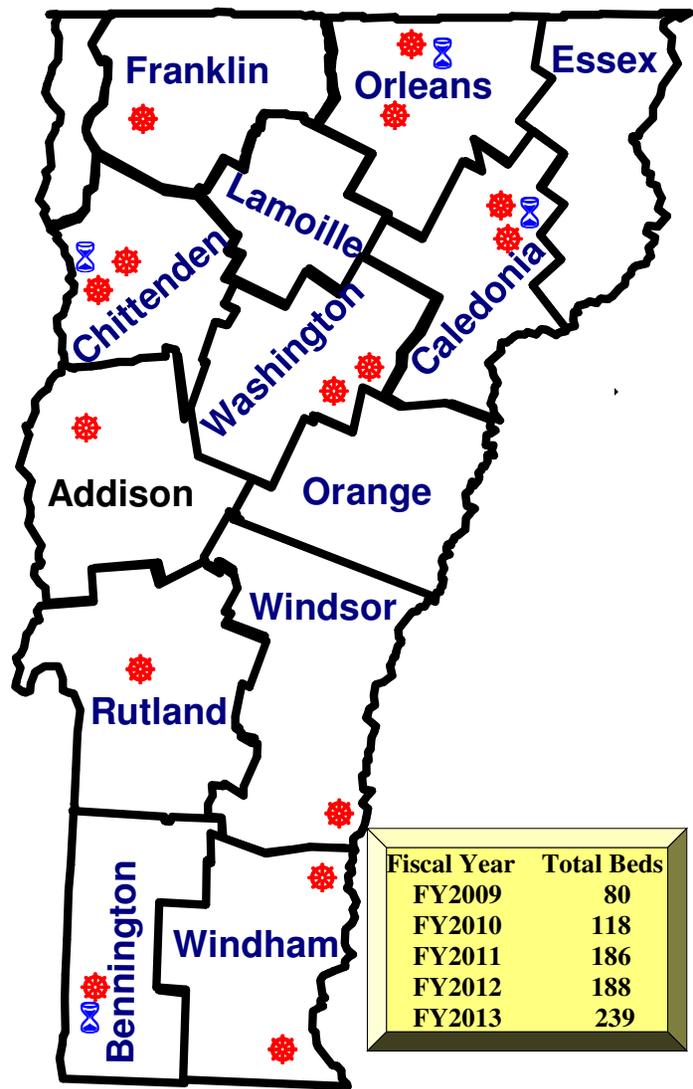
Housing Placements	Town	# Offenders	Target Population	Bed Days
Central VT Comm. Action	Barre	15	6 beds (females)	1,814
Return House	Barre	18	10 beds (males under 22)	2,110
Barre CJC	Barre	11	8 beds (males & females)	1,664
Phoenix House	Bellows Falls	13	5 beds (males)	1,618
Benn. Homeless Coalition	Bennington	13	5 beds (males & females)	1,416
Seall, Inc.	Bennington	26	10 beds (males)	3,285
Phoenix House	Brattleboro	54	14 beds (4 female & 10 male)	6,346
Morningside Shelter	Brattleboro	15	3 beds (males & females)	1,115
Dismas Satellite	Burlington	11	6 beds (males & females)	2,366
Northern Lights	Burlington	22	11 beds (females)	3,399
Pathways to Housing	Burlington	24	10 beds (males & females)	4,738
Phoenix House	Burlington	54	18 beds (males)	5,841
NEKCA	Lyndon	10	2 beds (males or females)	711
Montpelier CJC	Montpelier	9	8 beds (males & females)	1,092
Pathways to Housing	Montpelier	21	10 beds (males & females)	4,038
NEKCA	Newport	24	4 beds (males)	1,501
Dismas Satellite	Rutland	7	3 beds (males & females)	786
Rutland County Housing	Rutland	73	13 beds (males & females)	4,578
Samaritan House	St. Albans	20	4 beds (males & females)	1,705
St. Albans CJC	St. Albans	8	5 beds (males)	691
NEKCA	St. Johnsbury	13	4 beds (females)	1,050
NEKCA	St. Johnsbury	22	5 beds (males)	1,914
Covered Bridge	St. Johnsbury	13	6 beds (males)	1,906
Springfield Supported Hsg.	Springfield	10	3 beds (males & females)	801
Springfield CJC	Springfield	1	3 beds (males & females)	2
John Graham Shelter	Vergennes	5	2 beds (males & females)	704
Winooski CJC	Winooski	1	2 beds (males & females)	30
East Allen Dismas	Winooski	37	9 beds (males & females)	3,349

Release Program	# Offenders	Housing Specialists	Town	# Offenders	Resource
Housing Placement	550	BROC	Bennington	19	1 Housing Specialist
Housing Specialists	308	Burl. Housing Authority	Burlington	195	2 Housing Specialists
Release Money	94	NEKCA	Newport & St J	94	1 Housing Specialist
<b>Total Offenders Served</b>	<b>952</b>	<b>Other Assistance</b>	<b>Location</b>	<b># Offenders</b>	<b>Target Population</b>
		Release Money	Statewide	94	Inmate Reintegration

Note: Springfield CJC operated under a 2 month grant term and Winooski CJC operated under a 7 month grant term.

# Transitional Housing Grants - FY2013

## Grand Isle



Fiscal Year	Total Beds
FY2009	80
FY2010	118
FY2011	186
FY2012	188
FY2013	239

Community Justice Center=CJC

### Franklin:

- St.Albans - St. Albans CJC (5 male)
- Pathways to Housing (8 male/female)
- Samaritan House (4 male/female)

### Chittenden:

- Burlington- Burlington Hsg Auth. (4 female)
- Dismas House (6 male/female)
- Northern Lights (11 female)
- Phoenix House (20 male)
- Pathways to Housing (10 male/female)

- Winooski- East Allen Dismas House (9 male)
- Winooski CJC (2 male/female)

### Burlington Housing Authority - 2 Housing Search Specialists

### Addison:

- Vergennes - John Graham Shelter (2 male/female)

### Rutland:

- Rutland- Dismas House (3 male/female)
- Rutland County Housing Coalition (13 male/female)

### Bennington:

- Bennington- Bennington Coalition for the Homeless (3 male/female)
- Seall, Inc. (14 male)

### Bennington Rutland Opportunity Council (BROC) - 1 Housing Search Specialist

### Orleans:

- Newport- NEKCA - Northeast Kingdom Community Action - Judd House (4 male)

- Iraburg- Covered Bridge (6 male)

### NEKCA (for both Newport & St.Johnsbury) - 1 Housing Search Specialist

### Caledonia:

- Lyndon- NEKCA (2 male/female)
- St. Johnsbury- NEKCA - Aerie House (4 female)
- NEKCA - Judd South (5 male)
- Covered Bridge (6 male)

### Washington:

- Barre - Central Vermont Community Action (6 female)
- Phoenix House (20 male)
- Return House (10 male youth)
- Barre CJC (8 male/female)

- Montpelier - Pathways to Housing (10 male/female)
- Montpelier CJC (8 male/female)

### Windham:

- Bellows Falls - Phoenix House (5 male)
- Brattleboro - Phoenix House (4 female)
- Phoenix House (10 male)
- Morningside House (5 male/female)
- Pathways to Housing (10 male/female)

### Windsor:

- Springfield- Springfield Supported Housing (3 male/female)
- Springfield CJC (3 male/female)

🌟- Transitional Housing Program(s)

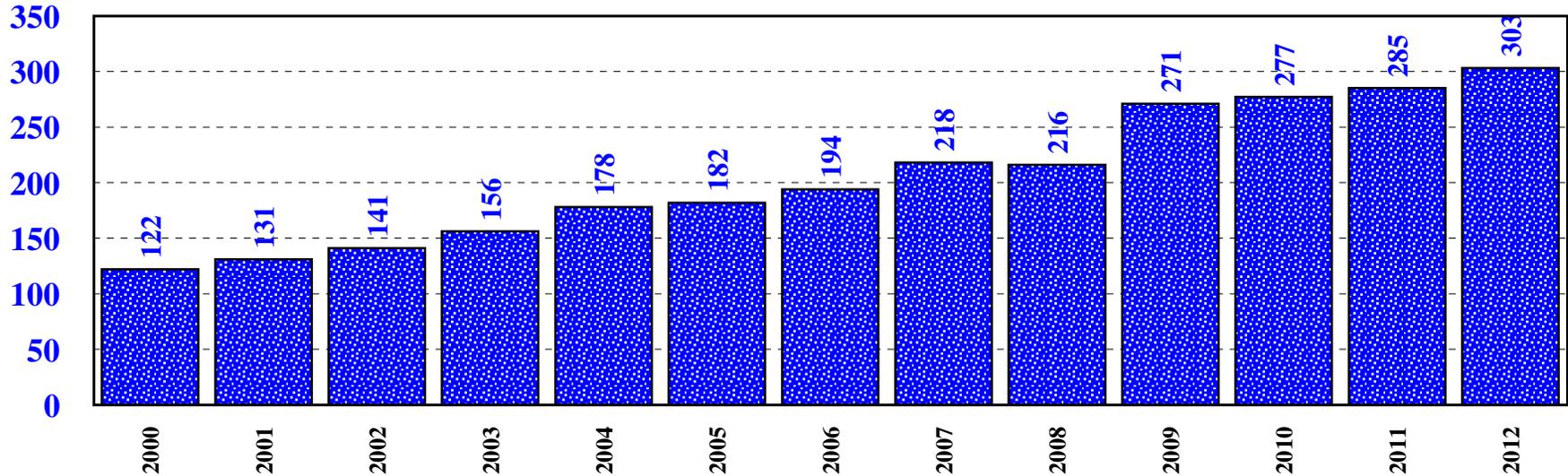
🕒- Housing Specialist(s)

(May serve entire county)

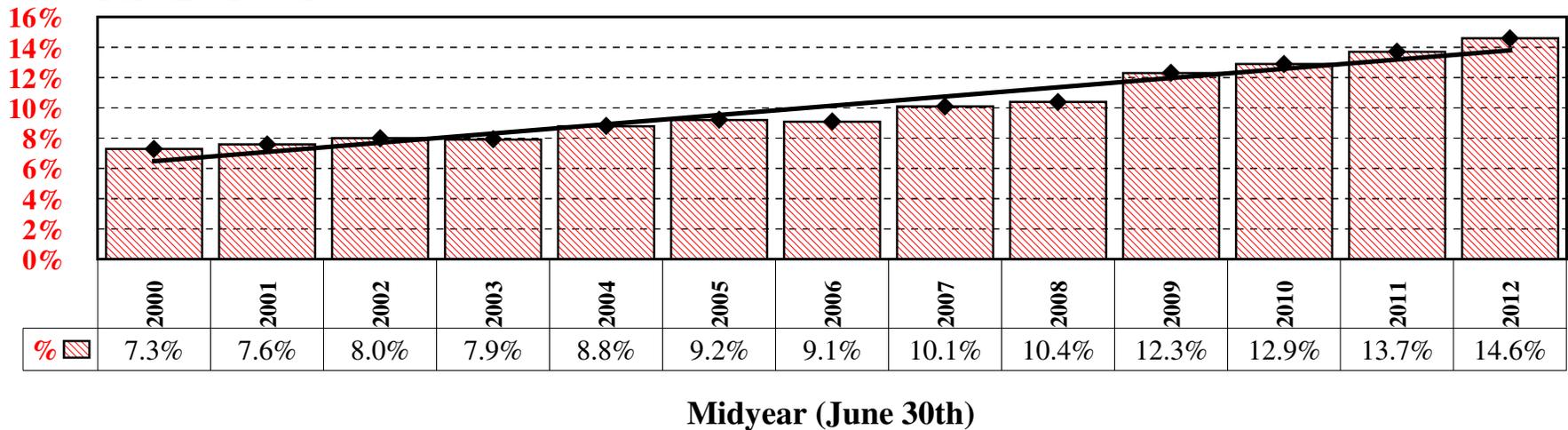
08/10/2012

# Inmates 50 Years of Age or Older

Number of Inmates



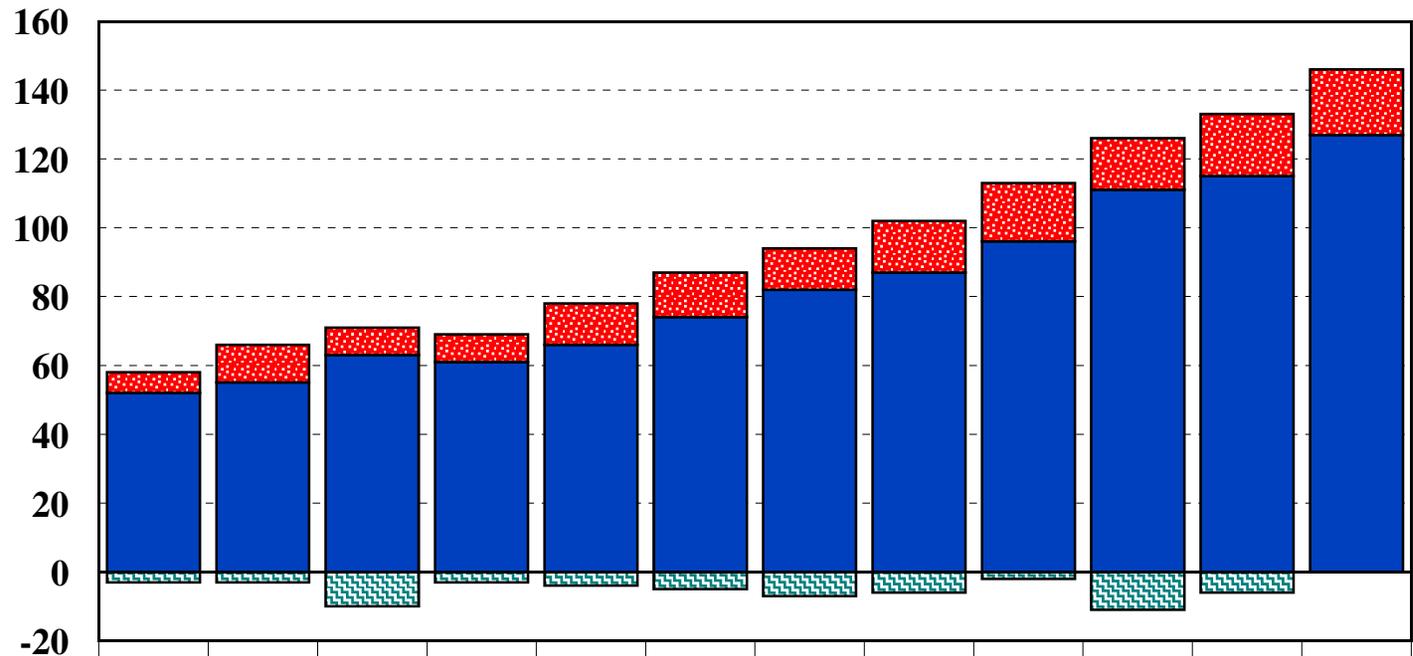
Percent of total



Source: VTDOC Daily Snapshots (June 30th each year).

# Life or Effectively Life Inmates

## Census at End-of-Fiscal Years



	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012
<b>Gone before next Year</b> [hatched]	-3	-3	-10	-3	-4	-5	-7	-6	-2	-11	-6	
<b>Lifers at End-of-FY</b> [blue]	58	66	71	69	78	87	94	102	113	126	133	146
<b>New in Year</b> [red with dots]	6	11	8	8	12	13	12	15	17	15	18	19
<b>Carry from Previous Year</b> [blue]	52	55	63	61	66	74	82	87	96	111	115	127

Midyear (June)

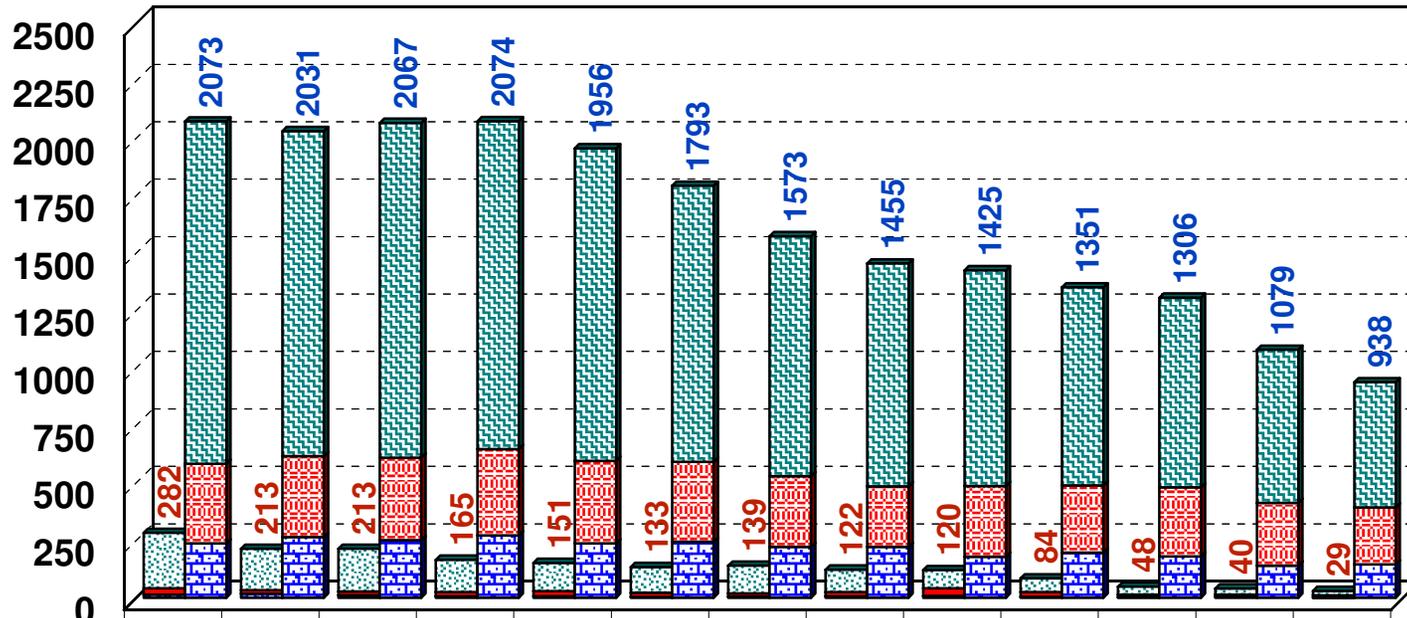
Source: VTDOC Daily Snapshot on June 30th each year.

“Effectively Life” includes anyone with a maximum sentence of 99 or more years.

Most have minimum sentences of less than “Life”; currently there are 15 Life-to-Life prisoners.

# Youth & Young Adults in Corrections

## Youth (under 18) & Young Adults (18-21)



	June 2000	June 2001	June 2002	June 2003	June 2004	June 2005	June 2006	June 2007	June 2008	June 2009	June 2010	June 2011	June 2012
<b>Y.Adult-Low</b>	1490	1414	1457	1427	1360	1201	1044	970	939	862	826	666	545
<b>Y.Adult-High</b>	347	353	360	375	360	349	308	264	307	292	300	273	248
<b>Y.Adult-Incarcerated</b>	236	264	250	272	236	243	221	221	179	197	180	140	145
<b>Youth-Low</b>	241	180	186	140	123	112	120	97	79	59	34	26	19
<b>Youth-High</b>	28	16	17	18	21	17	14	19	33	21	11	10	9
<b>Youth-Incarcerated</b>	13	17	10	7	7	4	5	6	8	4	3	4	1

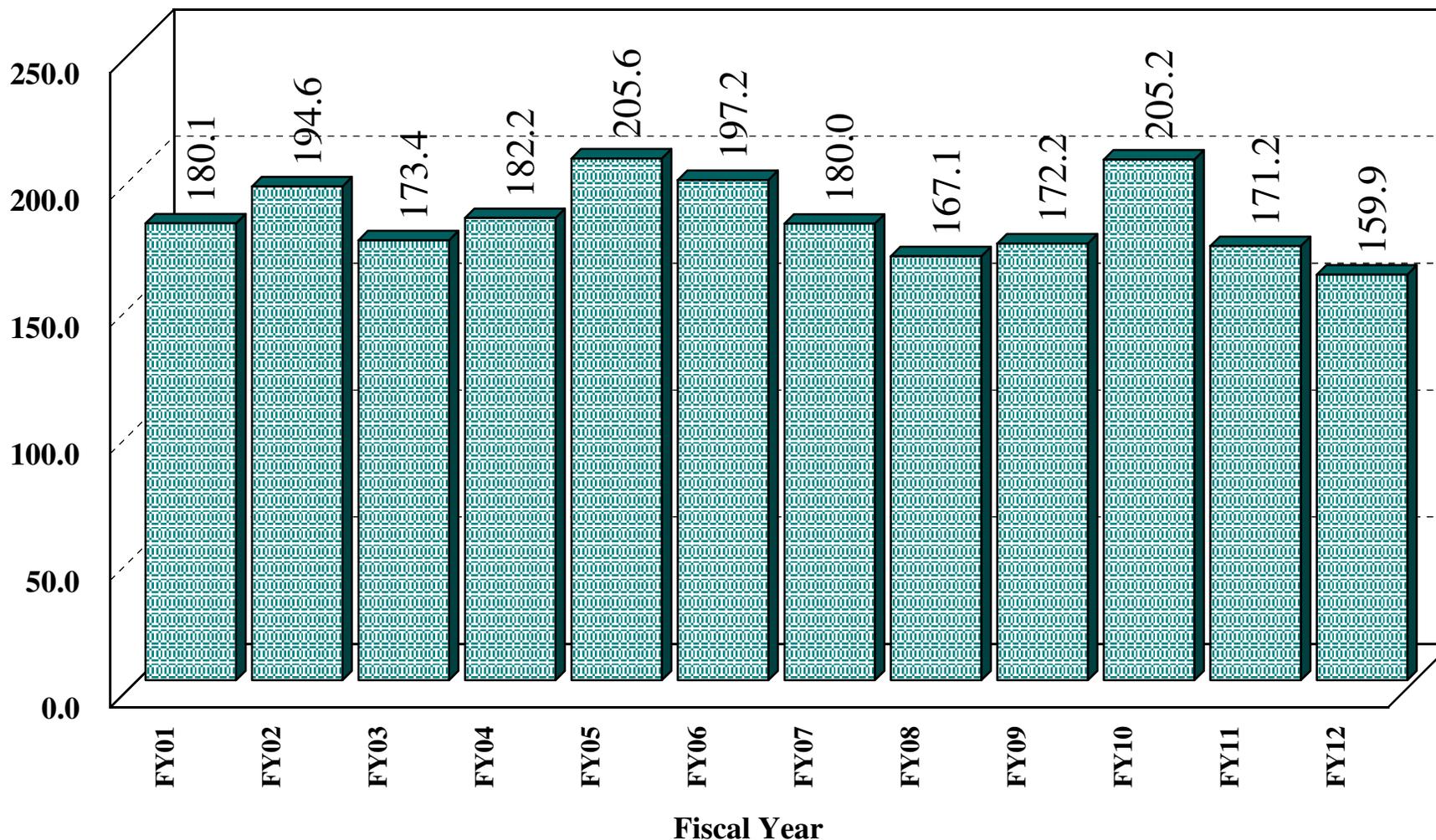
Midyear (June)

Source: VTDOC Snapshot database, June 30ths. A person was only counted once in the most restrictive placement (i.e. "Incarcerated" over "High field supervision" over "Low field supervision").

# Student Hours in Education Instruction

## Total Hours in Fiscal Year in Organized Programs of Instruction

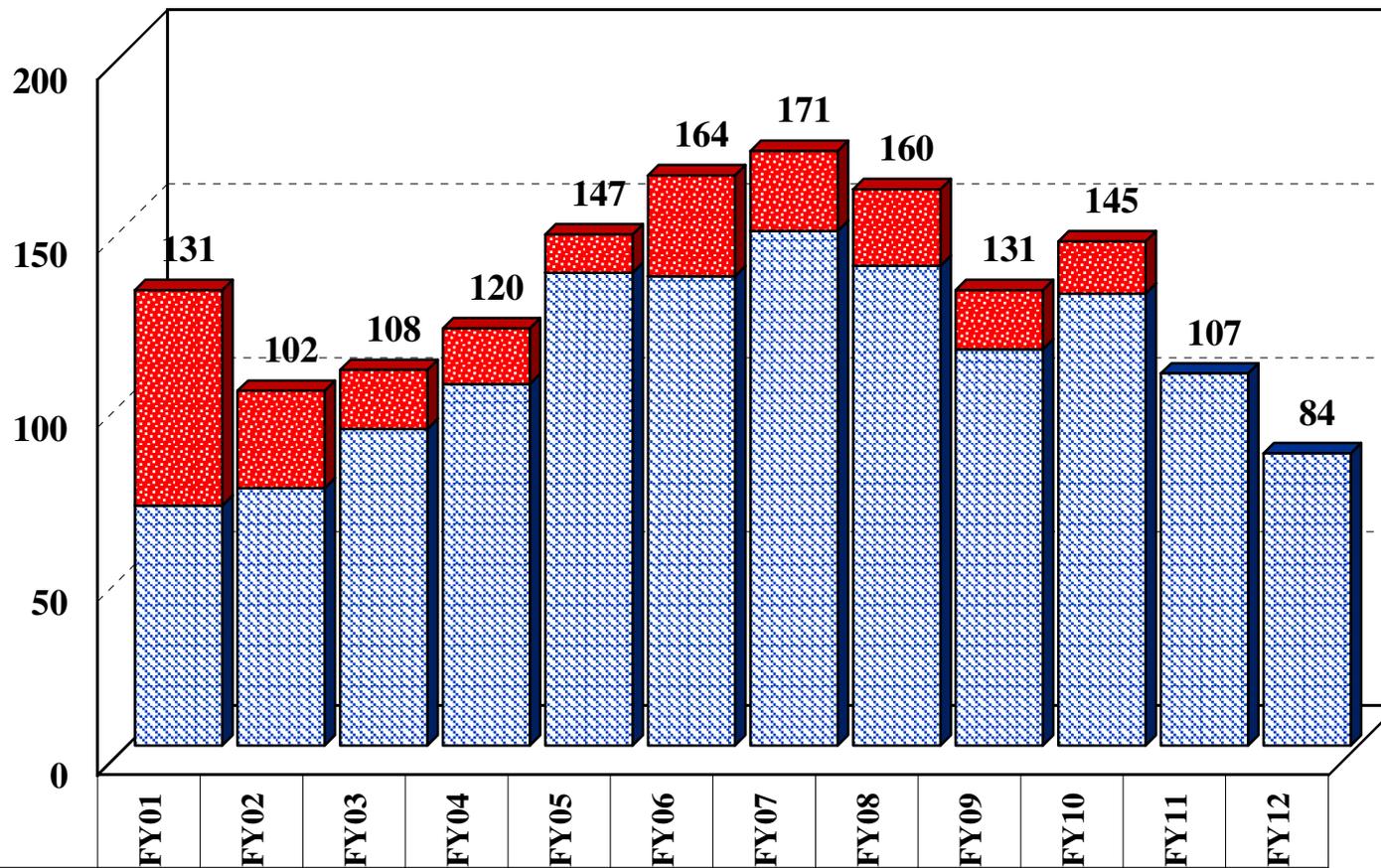
Thousands of Hours - All Facilities & Field Sites



Source: Community High School of Vermont, Annual Reports.

# Education Certificates

## GED's and Diplomas



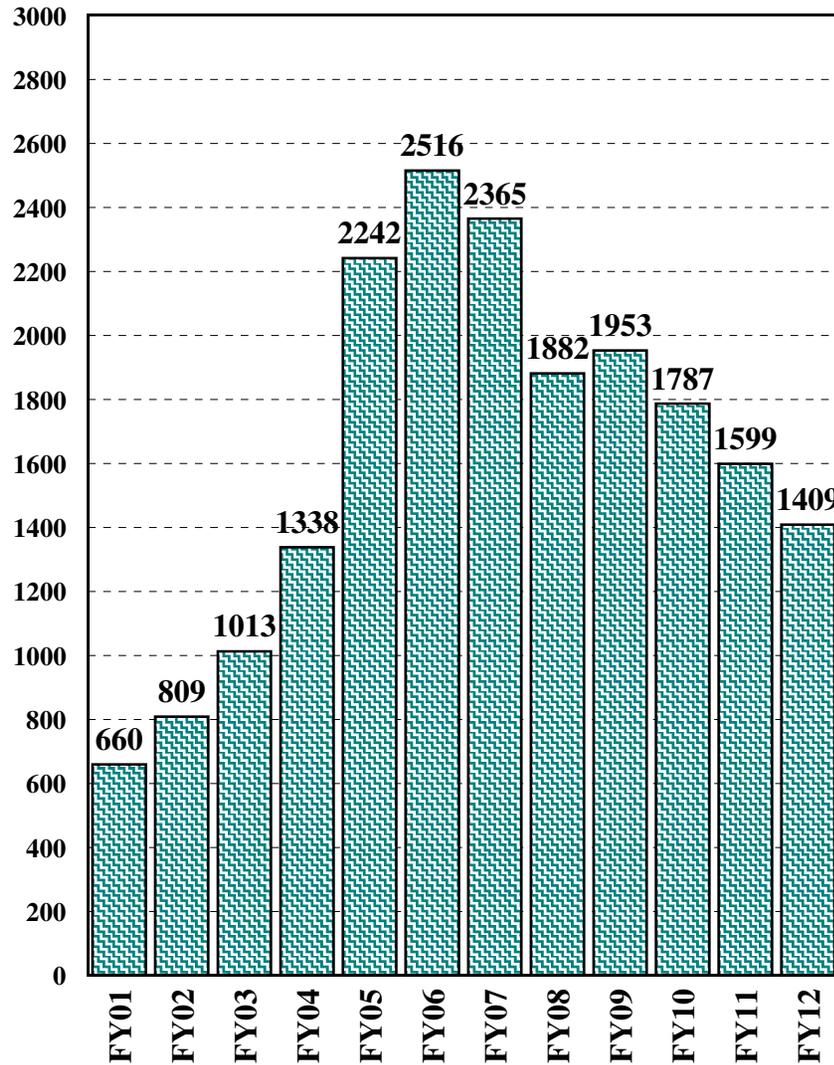
<b>GED Certificates</b> 	62	28	17	16	11	29	23	22	17	15		
<b>High School Diplomas</b> 	69	74	91	104	136	135	148	138	114	130	107	84

Fiscal Year

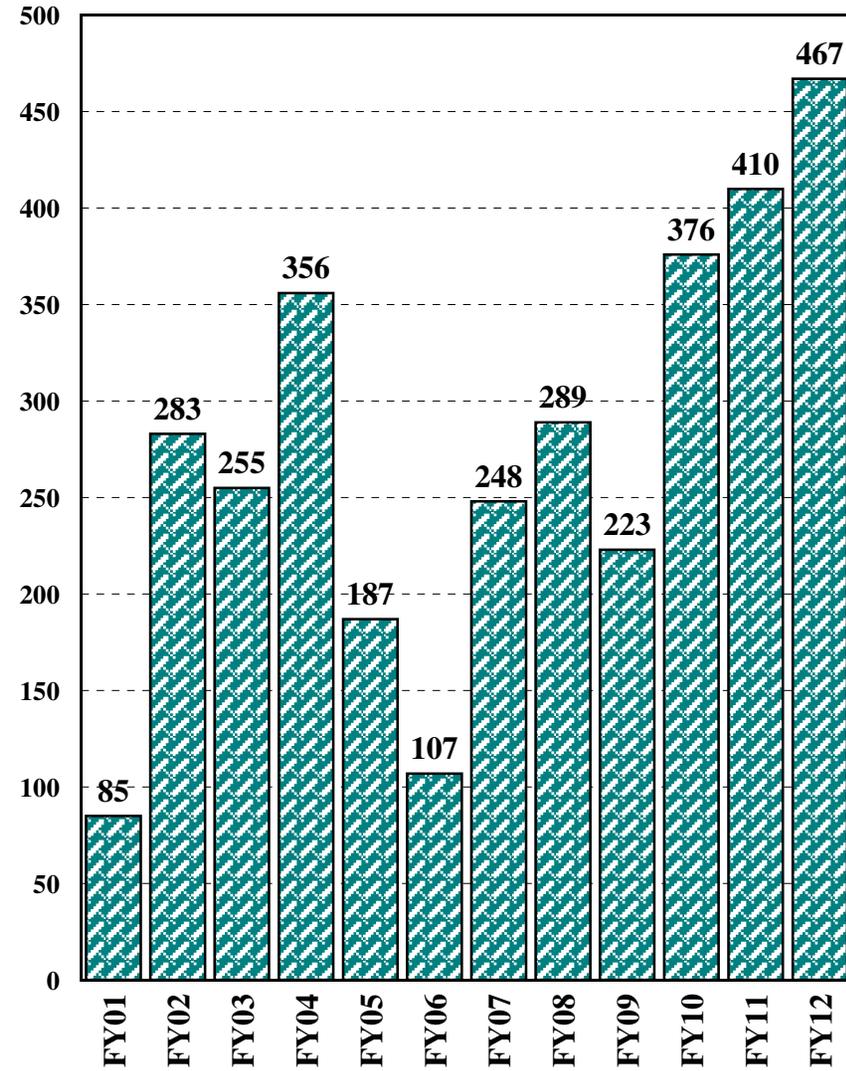
Source: Community High School of Vermont, Annual Reports. After FY2010, GED certificates are no longer issued by CHSVT.

# Awarding Coursework Credit & Trade/Industry Certificates

## VTDOC/Education Statewide



High School Coursework Credits awarded



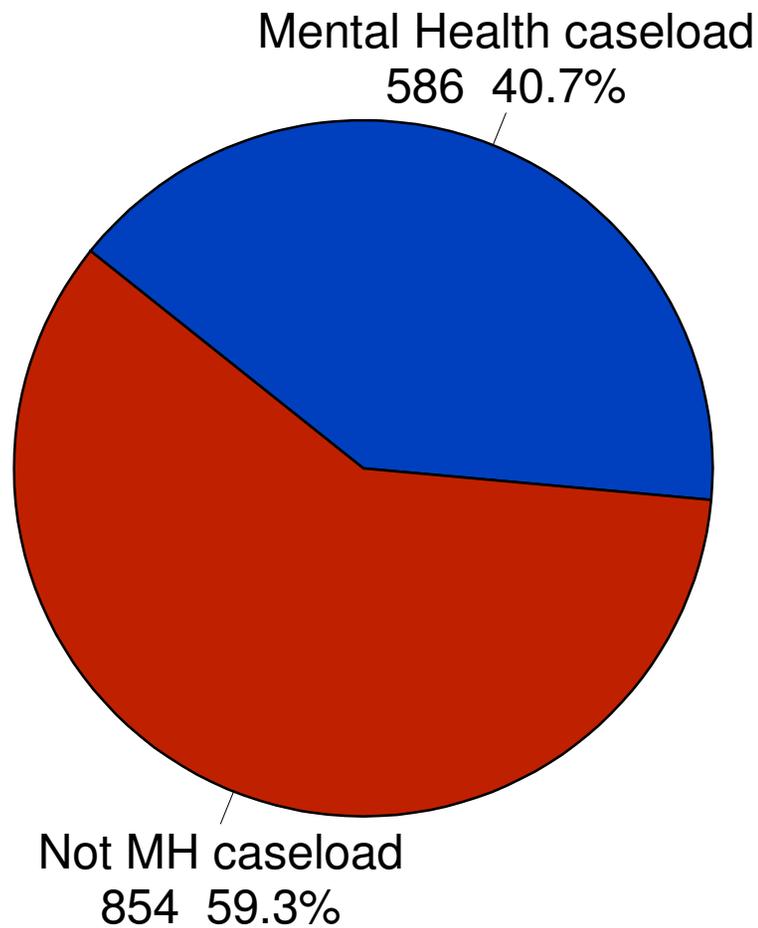
Trade/Industry Certificates awarded

Source: Community High School of Vermont, Annual Reports.

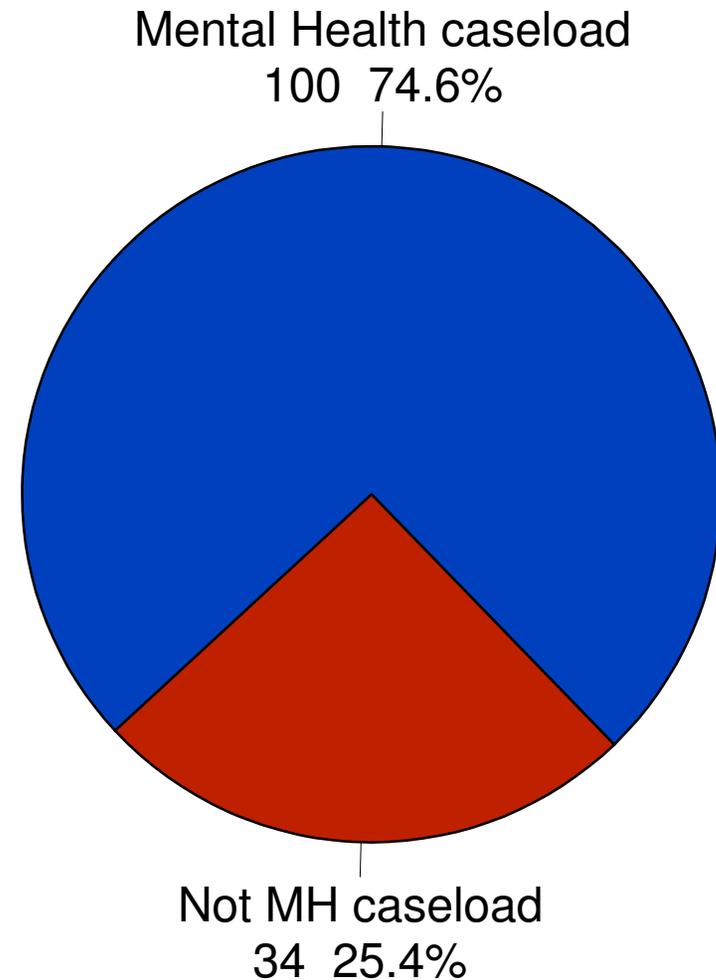
# Mental Health Services to Inmates

## Housed in Vermont, June 7, 2012

### Males (n= 1440)



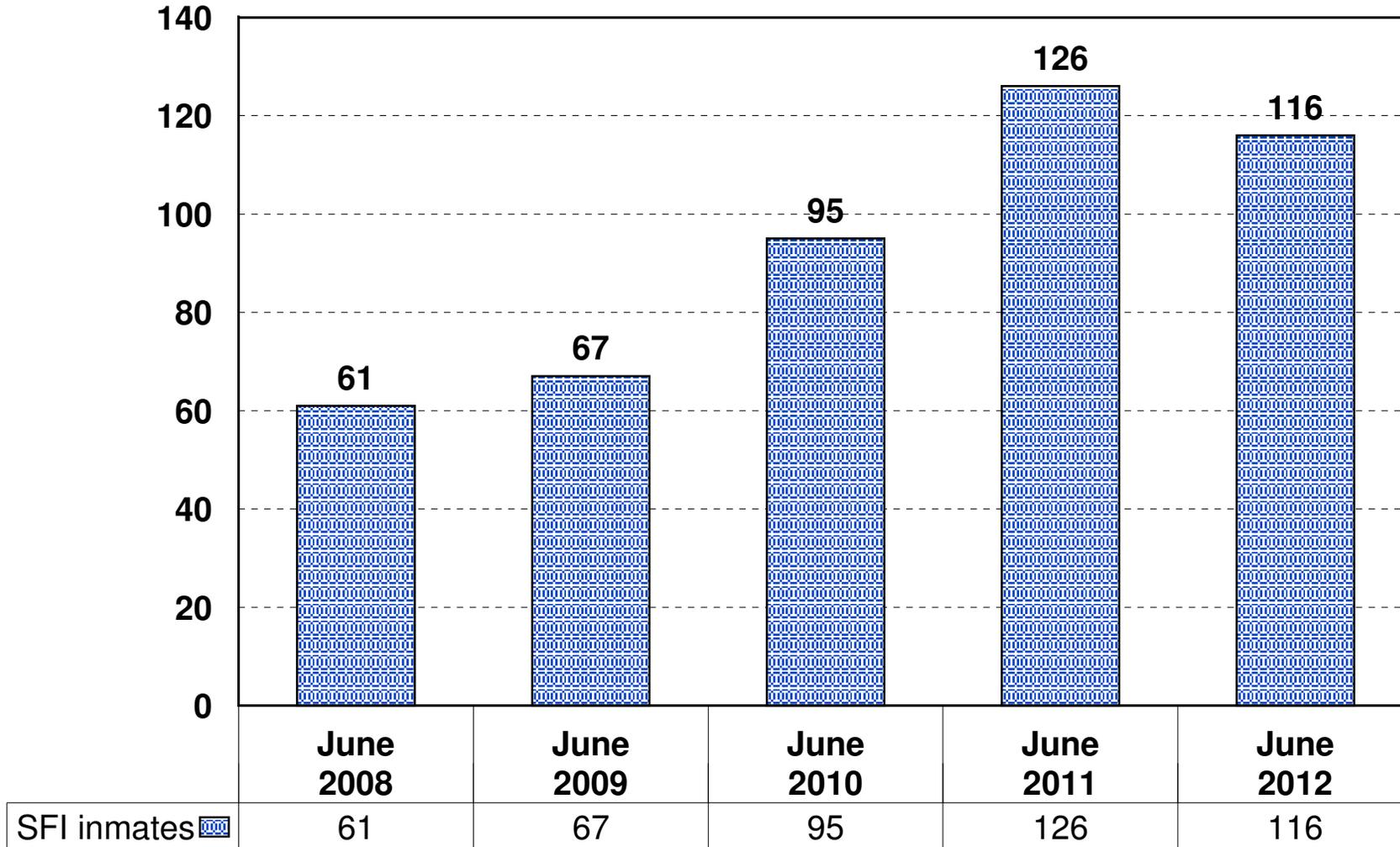
### Females (n= 134)



Source: Correct Care Solutions Case Load, June 7, 2012

# Inmates Designated Seriously Functionally Impaired (SFI)

## Average Daily Population, June samples

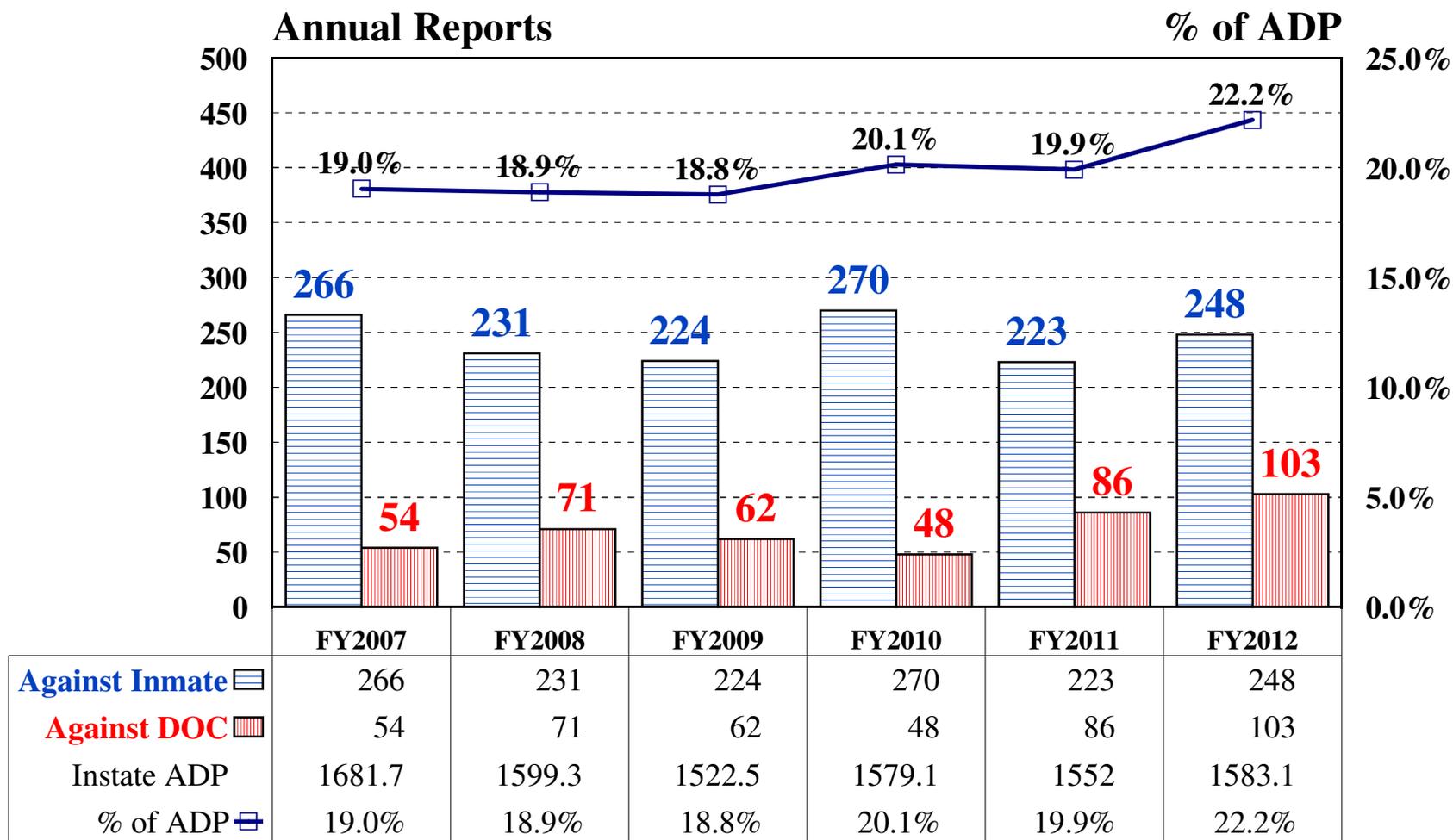


Sources: Data 2008-2010 as provided by mental health contractor. Data 2011-2012 as recorded by DOC. Year to year changes may reflect changes in the processes used to locate and track SFI designated inmates, changes in the legislative definitions, and other factors

# Assaults By Inmates

## Disciplinary Report Totals, All Facilities

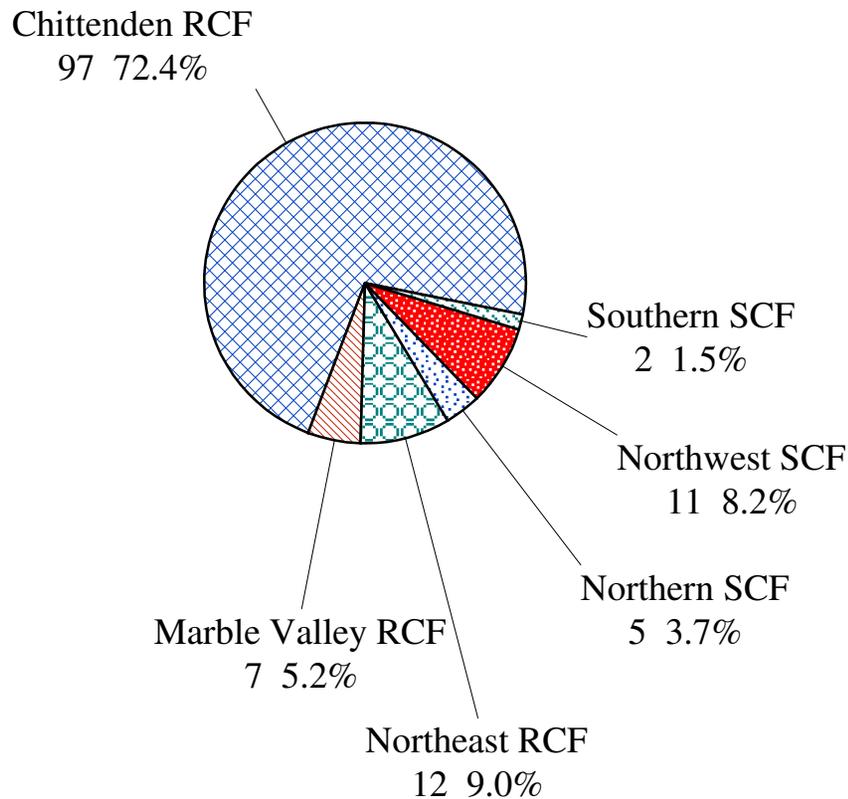
Note: Includes both major A & B assaults & sexual assaults



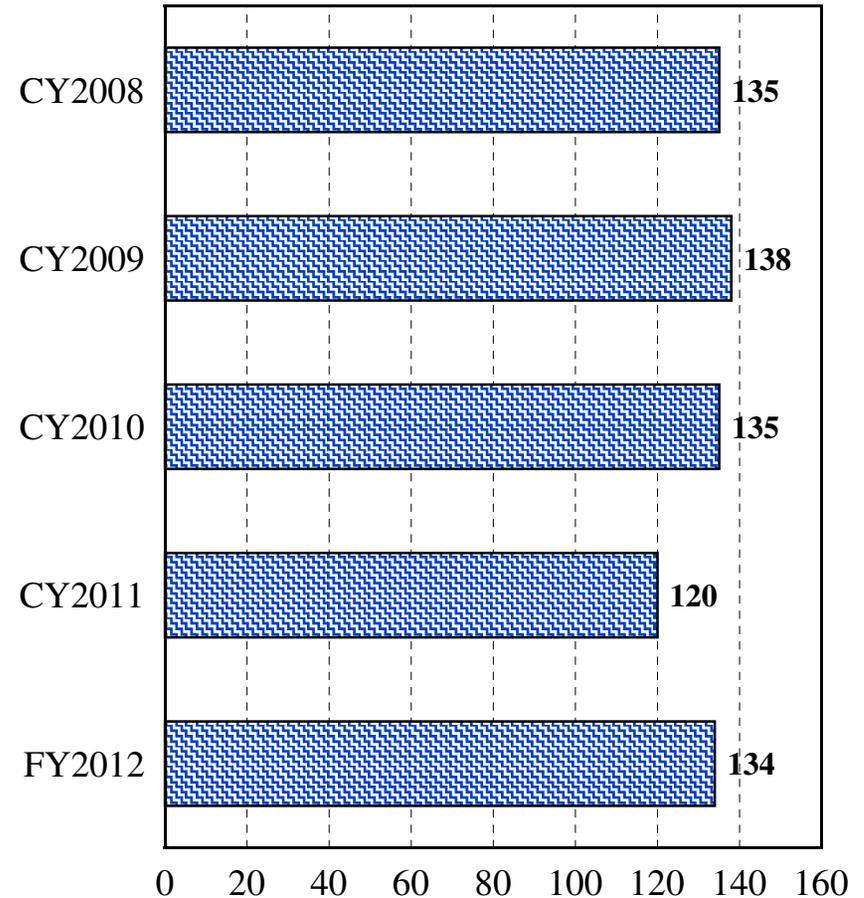
Source: VT DOC Disciplinary Reports (DR) database, following 2006 rewrite which distinguishes target of the assault, . Note: DR data does not include out-of-state inmates and therefore the denominator in the percentage index is only the “housed in Vermont” count. This index has no intrinsic meaning, but provides a comparison value across years.

# Admissions of Incapacitated Persons

## Monthly Average by Facility- FY2012



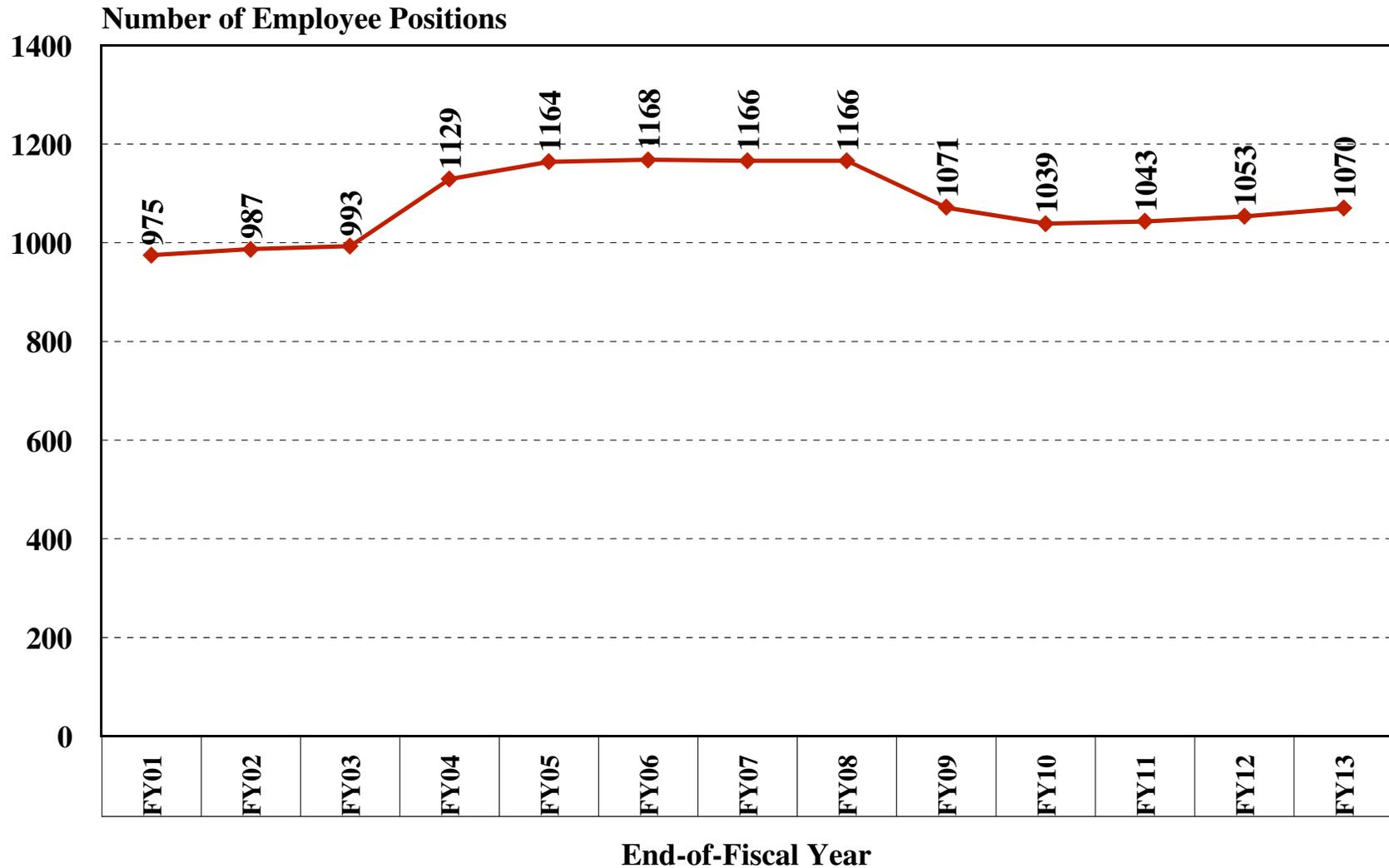
## Average Monthly Admissions



Source: Monthly reports from the contracted medical service provider at VTDOC facilities. INCAP data is not counted in the VTDOC "incarcerated" totals shown elsewhere in this report. Incapacitated person is defined as an individual, as a result of his or her use of alcohol or other drugs, is in a state of intoxication or mental confusion resulting from withdrawal, such that the person: A) appears to need medical care or supervision by approved substance abuse treatment personnel to assure his or her safety, or B) appears to present a direct active or passive threat to the safety of others. A person judged by a law enforcement officer to be incapacitated, and who has not been charged with a crime, may be lodged in protective custody for up to 24 hours or until judged by the the person in charge of the facility to be no longer incapacitated.

# Corrections Positions

## Authorized State Positions

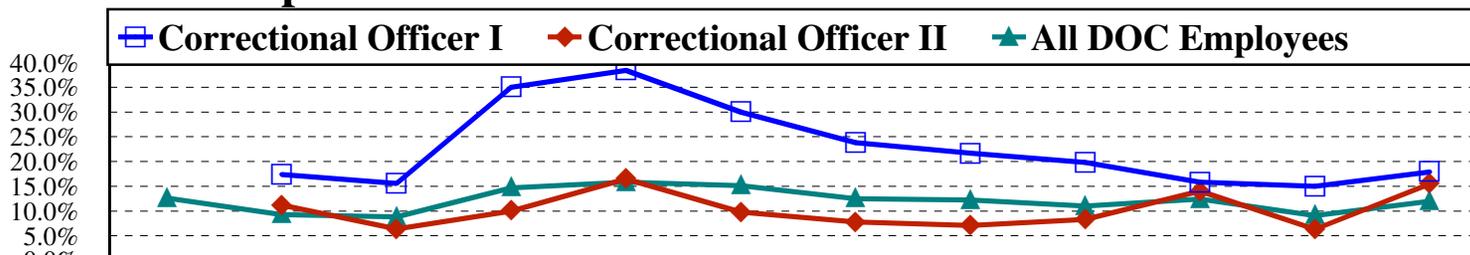


Source: Authorized (classified and exempt) Positions for last payroll period of Fiscal Year (and Positions budgeted by Legislature for FY2013).

# Need to Replace Correctional Officers

## Turnover of VTDOC Employees Leaving State Service

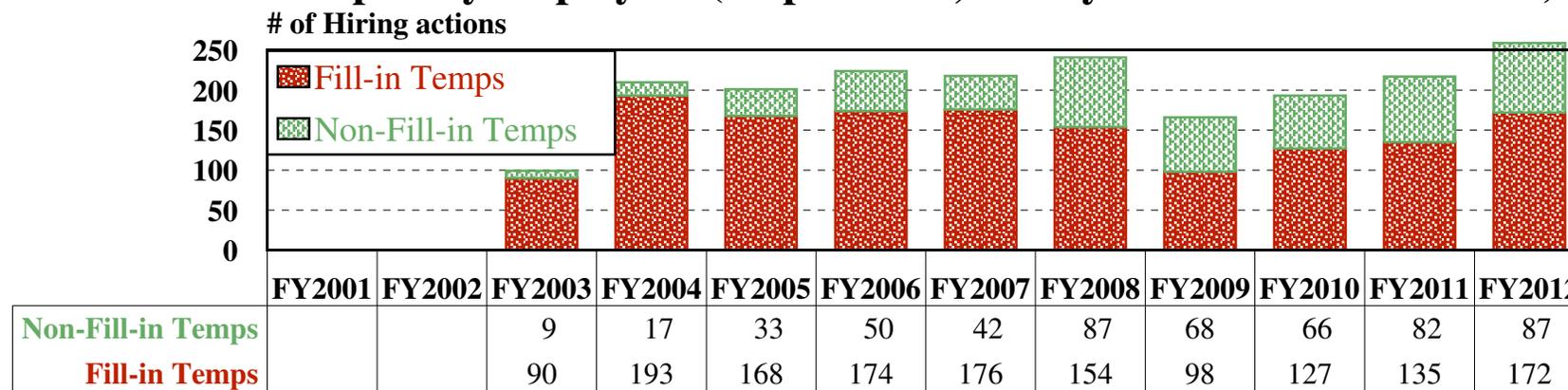
### Turnover in Permanent positions



	FY2001	FY2002	FY2003	FY2004	FY2005	FY2006	FY2007	FY2008	FY2009	FY2010	FY2011	FY2012
All DOC Employees	12.6%	9.3%	8.8%	14.7%	15.8%	15.1%	12.5%	12.2%	11.0%	12.4%	9.1%	12.0%
Correctional Officer II	n/av	11.2%	6.4%	10.0%	16.5%	9.8%	7.8%	7.1%	8.3%	14.0%	6.3%	15.5%
Correctional Officer I	n/av	17.4%	15.6%	35.0%	38.4%	30.0%	23.8%	21.7%	19.8%	15.8%	15.0%	17.9%

Turnover is defined as resignation, dismissal, retirement, and death in line of duty. Calculation is the actual number of separations divided by the average number of employees for the fiscal year.

### Use of Hires of Temporary employees (all positions, mostly Correctional Officer I)



Fiscal Year

Source: Annual Workforce Report from Vermont Department of Human Resources (FY2003 onward). Any recalculations and restatements in later reports are used in place of earlier years' published values.

# Facility Per Capita Cost (\*Accrual)-FY2012

Facility	Average Daily Population	Total Expenditures	Cost Per capita
Chittenden RCF (Women) (S. Burlington)	155	\$12,344,525	\$79,642
Northeast Complex (RCF&WC) (St. Johnsbury)	231	\$12,306,432	\$53,275
Marble Valley RCF (Rutland)	138	\$8,452,528	\$61,250
Southeast Work Camp (Windsor)	92	\$6,662,291	\$72,416
Northern SCF (Newport)	413	\$18,854,762	\$45,653
Southern SCF (Springfield)	348	\$19,867,795	\$57,091
Northwest SCF (Swanton)	205	\$13,441,584	\$65,569
<b>TOTALS (Instate)</b>	1,582	\$91,929,918	\$58,110
<b>Out-of-State (CCA)</b>	519	\$13,920,906	\$26,823

Source: VTDOC Business Office. All operations at the St. Johnsbury site (Northeast Correctional Complex, comprising regional and work camp services) were combined. The roles of the Northwest and Chittenden facilities (gender of inmates) were switched in August 2011.

**AGENCY OF HUMAN SERVICES  
DEPARTMENT OF CORRECTIONS  
APPROPRIATION HISTORY  
2006 TO 2014**

<b>FUND TYPE</b>	<b>2006</b>	<b>2007</b>	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>Appropriated 2013</b>	<b>BAA 2013</b>	<b>Requested 2014</b>
General Fund	\$103,059,848	\$108,503,389	\$118,267,555	\$125,347,311	\$127,040,243	\$133,396,480	\$130,023,262	\$131,028,668	\$130,104,791	\$137,920,153
Transportation Funds	\$1,153,658	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Federal Funds	\$1,894,710	\$1,894,710	\$686,861	\$584,861	\$584,861	\$170,962	\$170,962	\$470,962	\$470,962	\$470,962
All Other Funding Sources	\$5,327,276	\$8,188,369	\$8,832,369	\$9,079,667	\$10,025,728	\$10,652,268	\$11,814,606	\$11,980,483	\$13,628,587	\$13,332,604
<b>Grand Total</b>	<b>\$111,435,492</b>	<b>\$118,586,468</b>	<b>\$127,786,785</b>	<b>\$135,011,839</b>	<b>\$137,650,832</b>	<b>\$144,219,710</b>	<b>\$142,008,830</b>	<b>\$143,480,113</b>	<b>\$144,204,340</b>	<b>\$151,723,719</b>

**Percent Change**

General Fund	185.42%	5.28%	9.00%	5.99%	1.35%	5.00%	0.52%	0.77%	0.06%	5.26%
Transportation Funds	-19.02%	-100.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Federal Funds	-96.33%	0.00%	-63.75%	-14.85%	0.00%	-70.77%	0.00%	175.48%	175.48%	0.00%
All Other Funding Sources	-2.62%	53.71%	7.86%	2.80%	10.42%	6.25%	7.01%	1.40%	15.35%	11.29%
Grand Total	17.68%	6.42%	7.76%	5.65%	1.95%	4.77%	1.03%	1.04%	1.55%	5.75%
Rolling Five Year Average	9.49%	9.35%	10.58%	8.77%	7.89%	5.31%	2.17%	2.89%	2.99%	2.01%
Rolling Three Year Average	12.91%	10.15%	10.62%	6.61%	5.12%	4.13%	1.60%	2.28%	2.45%	2.60%

**Agency of Human Services**  
**Department of Corrections**  
**State Fiscal Year 2014 Budget Presentation**

- **Total Budget Increase (All Funding Sources) \$8,243,606 or a 5.07% increase over the SFY 13 As Passed Appropriation of \$143,480,113.**
- **Total General Fund Increase: \$6,891,485 or 5.3% increase over SFY 13 As Passed Appropriation of \$131,028,668.**
- **Pressures on the General Fund: Total: \$7,869,399**
  - ✓ **Annualization of Pay Act and Related Benefits: \$3,740,548**
  - ✓ **Additional Staffing Request – 5 Correctional Officers: Total \$293,117**
    - ❖ These five (5) positions will allow for the transformation of the E Building at Northern State Correctional Facility from two separate living units into three. This covers 10 months of costs and will enhance the security of the facility.
  - ✓ **Investments in Community Justice & Transitional Housing: Total \$560,000**
    - ❖ Create additional transitional housing beds: \$500,000
    - ❖ Provide grants to community justice centers to assume control of the Community Reparative Boards in Colchester, Milton and Chester: \$60,000
  - ✓ **Facility Inflationary Pressures at CPI: Total \$269,658**
    - ❖ Facility Utilities - \$129,250
      - Electricity - \$66,097
      - Heating – \$23,166
      - Water/Sewage - \$36,085
      - Gasoline/Diesel - \$3,902
    - ❖ Facility Food - \$140,408
  - ✓ **Health and Mental Health Services: \$1,177,000**
    - ❖ Inflationary pressure in the current contract with CCS of 6%: \$1,077,000
    - ❖ Transform the current health care delivery model – consultants: \$100,000

- ✓ **Out of State Beds: \$357,841**
  - ❖ Inflationary pressure in the Out of State Bed contract of 3.5%: \$357,841
- ✓ **Internal Service Funds: \$1,471,235**
  - ❖ Insurance Adjustments – Worker’s Comp, General Liability, Auto Liability, Commercial: \$231,402
  - ❖ Human Resource Services Assessment: \$3,993
  - ❖ DII Services: \$659,968
  - ❖ VISION: \$131,772
  - ❖ Fee for Space: \$444,100

➤ **Restructuring of the Community High School of Vermont: \$600,000**

- ✓ **Personal Services: \$490,000**
  - ❖ Includes staff retirements and eliminating adjunct teacher contracts
- ✓ **Operating: \$110,000**
  - ❖ Includes lease, cable and travel savings

➤ **Global Commitment Adjustments: Net = \$701,026**

- ✓ General Fund Transfers to AHS: <\$977,914>
  - ❖ ADAP Methadone Program - <\$260,000>
  - ❖ Return House - <\$107,919>
  - ❖ Northern Lights - <\$122,244>
  - ❖ NEKCA - <\$125,795>
  - ❖ Pathways to Housing - <\$361,956>
- ✓ Increased Global Commitment Funding because of MCO Investment Conversions: \$1,648,104
  - ❖ Return House - \$247,749
  - ❖ Northern Lights - \$280,633
  - ❖ NEKCA - \$288,786
  - ❖ Pathways to Housing - \$830,936
- ✓ Medicaid Rate Increase: \$30,836

➤ **Special/Internal Service/Interdepartmental Transfer Fund Adjustments: \$273,181**

- ✓ Education: Employee Salaries and Fringe Benefit Rate Base Reduction (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$212,017
- ✓ Recreation: Employee Salaries and Fringe Benefit Rate Base Reduction (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$18,880
- ✓ VOWP: Employee Salaries and Fringe Benefit Rate Base Reduction (Retirement, Health, Dental, LTD, Life, FICA/Medicare): \$42,284

FY14 Department Request - DOC

	GF	SF	Tob	IdptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
<b>Corrections - Administration - As Passed FY13</b>	<b>2,218,260</b>										<b>2,218,260</b>
other changes:											0
											0
											0
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>2,218,260</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,218,260</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Annualized Pay Act & Related Benefits	105,305										105,305
<b>Operating Expenses:</b>											
<b>Grants:</b>											
<b>FY14 Changes</b>	<b>105,305</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>105,305</b>
<b>FY14 Gov Recommended</b>	<b>2,323,565</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,323,565</b>
<b>FY14 Legislative Changes</b>											0
<b>FY14 As Passed - Dept ID 3480001000</b>	<b>2,323,565</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,323,565</b>
<b>Corrections - Parole Board - As Passed FY13</b>	<b>322,045</b>										<b>322,045</b>
other changes:											0
											0
											0
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>322,045</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>322,045</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Annualized Pay Act & Related Benefits	5,935										5,935
<b>Operating Expenses:</b>											
<b>Grants:</b>											
<b>FY14 Changes</b>	<b>5,935</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,935</b>
<b>FY14 Gov Recommended</b>	<b>327,980</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>327,980</b>
<b>FY14 Legislative Changes</b>											0
<b>FY14 As Passed - Dept ID 3480002000</b>	<b>327,980</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>327,980</b>

FY14 Department Request - DOC

	GF	SF	Tob	ldptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
<b>Corrections - Correctional Educ - As Passed FY13</b>		<b>4,337,051</b>		<b>376,059</b>							<b>4,713,110</b>
<b>other changes:</b>											<b>0</b>
											<b>0</b>
											<b>0</b>
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>0</b>	<b>4,337,051</b>	<b>0</b>	<b>376,059</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,713,110</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Annualized Pay Act & Related Benefits		192,191		19,826							<b>212,017</b>
Restructuring Community High School of Vermont		(490,000)									<b>(490,000)</b>
<b>Operating Expenses:</b>											
Restructuring Community High School of Vermont		(110,000)									<b>(110,000)</b>
<b>Grants:</b>											
<b>FY14 Changes</b>	<b>0</b>	<b>(407,809)</b>	<b>0</b>	<b>19,826</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>(387,983)</b>
<b>FY14 Gov Recommended</b>	<b>0</b>	<b>3,929,242</b>	<b>0</b>	<b>395,885</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,325,127</b>
<b>FY14 Legislative Changes</b>											<b>0</b>
<b>FY14 As Passed - Dept ID 3480003000</b>	<b>0</b>	<b>3,929,242</b>	<b>0</b>	<b>395,885</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,325,127</b>

FY14 Department Request - DOC

	GF	SF	Tob	ldptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
<b>Correctional Services - As Passed FY13</b>	<b>118,338,441</b>	<b>483,963</b>		<b>396,315</b>		<b>470,962</b>				<b>4,133,739</b>	<b>123,823,420</b>
<b>other changes:</b>											<b>0</b>
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>118,338,441</b>	<b>483,963</b>	<b>0</b>	<b>396,315</b>	<b>0</b>	<b>470,962</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4,133,739</b>	<b>123,823,420</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Annualized Pay Act & Related Benefits	3,629,308										<b>3,629,308</b>
Increase in Health and Mental Health Cost (est. 6%)	1,077,000										<b>1,077,000</b>
Consultants for Transformation of Health Care Model	100,000										<b>100,000</b>
Transform E-building at Northern State from two separate living units into three - 5 Correctional Officer I positions - 10 months	293,117										<b>293,117</b>
<b>Operating Expenses:</b>											
<b>Inflationary Items:</b>											
Utilities (CPI)	66,097										<b>66,097</b>
Heating Fuels (Oil and Propane) (CPI)	23,166										<b>23,166</b>
Gasoline and Diesel (CPI)	3,902										<b>3,902</b>
Facility Food (CPI)	140,408										<b>140,408</b>
Water & Sewer (info from towns)	36,085										<b>36,085</b>
<b>Insurance Adjustments (includes Worker's Comp, General liability, Auto liability, Commercial):</b>	231,402										<b>231,402</b>
<b>Internal Service Funds:</b>											
DHR	3,993										<b>3,993</b>
DII	364,498										<b>364,498</b>
DII Server/Cloud charge	58,556										<b>58,556</b>
DII Desktop Staff charge	150,147										<b>150,147</b>
DII Network services charge	86,767										<b>86,767</b>
VISION	131,772										<b>131,772</b>
Fee-for-space	444,100										<b>444,100</b>

FY14 Department Request - DOC

	GF	SF	Tob	ldptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
<b>Grants:</b>											
CJCs - Reparative Boards	60,000										60,000
Investments in Transitional Housing	500,000										500,000
Transfer of GF to CO GC for ADAP Methadone program match (net-neutral) [BAA Item]	(260,000)										(260,000)
Annualize Return House MCO Investment (BAA Item)	(107,919)									247,749	139,830
Annualize Northern Lights MCO Investment (BAA Item)	(122,244)									280,633	158,389
NEKCA MCO Investment (BAA Item)	(125,795)									288,786	162,991
Pathways to Housing MCO Investment (BAA Item)	(361,956)									830,936	468,980
Medicaid Rate Increase										30,836	30,836
<b>FY14 Changes</b>	<b>6,422,404</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1,678,940</b>	<b>8,101,344</b>
<b>FY14 Gov Recommended</b>	<b>124,760,845</b>	<b>483,963</b>	<b>0</b>	<b>396,315</b>	<b>0</b>	<b>470,962</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,812,679</b>	<b>131,924,764</b>
<b>FY14 Legislative Changes</b>											<b>0</b>
<b>FY14 As Passed - Dept ID 3480004000</b>	<b>124,760,845</b>	<b>483,963</b>	<b>0</b>	<b>396,315</b>	<b>0</b>	<b>470,962</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5,812,679</b>	<b>131,924,764</b>

FY14 Department Request - DOC

	GF	SF	Tob	IdptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
<b>Correctional Services - out-of-state beds - As Passed FY13</b>	<b>10,149,922</b>										<b>10,149,922</b>
<b>other changes:</b>											<b>0</b>
											<b>0</b>
											<b>0</b>
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>10,149,922</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,149,922</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Inflation @ 3.5%	357,841										357,841
OOS Caseload Projected @ 510 Beds (125 above FY13 base appropriation)	2,138,096										2,138,096
Judiciary's Detention Plan - Caseload Reduction	(2,138,096)										(2,138,096)
<b>Operating expenses:</b>											
<b>Grants:</b>											
<b>FY14 Changes</b>	<b>357,841</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>357,841</b>
<b>FY14 Gov Recommended</b>	<b>10,507,763</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,507,763</b>
<b>FY14 Legislative Changes</b>											<b>0</b>
<b>FY14 As Passed - Dept ID 3480006000</b>	<b>10,507,763</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10,507,763</b>
<b>Corr Facilities - Recreation - As Passed FY13</b>											
<b>other changes:</b>		<b>792,739</b>									<b>792,739</b>
											<b>0</b>
											<b>0</b>
<b>FY13 after other changes</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total after FY13 other changes</b>	<b>0</b>	<b>792,739</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>792,739</b>
<b>FY13 after other changes</b>											
<b>Personal Services:</b>											
Annualized Pay Act & Related Benefits		18,880									18,880
<b>Operating Expenses:</b>											
<b>Grants:</b>											
<b>FY14 Changes</b>	<b>0</b>	<b>18,880</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>18,880</b>
<b>FY14 Gov Recommended</b>	<b>0</b>	<b>811,619</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>811,619</b>
<b>FY14 Legislative Changes</b>											<b>0</b>
<b>FY14 As Passed - Dept ID 3480005000</b>	<b>0</b>	<b>811,619</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>811,619</b>

FY14 Department Request - DOC

	GF	SF	Tob	ldptT	Internal Service	FF	ARRA Fed	Catamnt	Medicaid GCF	Invmnt GCF	Total
Corr.-Vermont Offender Work Program-As Passed FY13					1,460,617						1,460,617
other changes:											0
											0
											0
FY13 after other changes	0	0	0	0	0	0	0	0	0	0	0
Total after FY13 other changes	0	0	0	0	1,460,617	0	0	0	0	0	1,460,617
FY13 after other changes											
Personal Services:											
Annualized Pay Act & Related Benefits					42,284						42,284
Operating Expenses:											
Internal Service funds:											
Grants:											
FY14 Changes	0	0	0	0	42,284	0	0	0	0	0	42,284
FY14 Gov Recommended	0	0	0	0	1,502,901	0	0	0	0	0	1,502,901
FY14 Legislative Changes											0
FY14 As Passed - Dept ID 3675001000	0	0	0	0	1,502,901	0	0	0	0	0	1,502,901
TOTAL FY13 DOC Big Bill As Passed											
	131,028,668	5,613,753	0	772,374	1,460,617	470,962	0	0	0	4,133,739	143,480,113
TOTAL FY13 DOC Reductions & other changes											
	0	0	0	0	0	0	0	0	0	0	0
TOTAL FY14 DOC Starting Point											
	131,028,668	5,613,753	0	772,374	1,460,617	470,962	0	0	0	4,133,739	143,480,113
TOTAL FY14 DOC ups & downs											
	6,891,485	(388,929)	0	19,826	42,284	0	0	0	0	1,678,940	8,243,606
TOTAL FY14 DOC Gov Recommended											
	137,920,153	5,224,824	0	792,200	1,502,901	470,962	0	0	0	5,812,679	151,723,719
TOTAL FY14 DOC Legislative Changes											
	0	0	0	0	0	0	0	0	0	0	0
TOTAL FY14 DOC As Passed											
	137,920,153	5,224,824	0	792,200	1,502,901	470,962	0	0	0	5,812,679	151,723,719

## Next Steps for the Department of Corrections

1. Transformation of the Medical/Mental Health Services Delivery Model
2. Improved Risk Instruments/Case Management, Reentry Services (CHSVT)
3. Structure of Facility Populations
4. New Offender Management System
5. Work with Criminal Justice System Partners on Detention
6. Work with the Legislature on Criminal Justice Reform



## **Vermont Department of Corrections**

*SFY 2014 Governor's Budget*

*Mission, Description of Appropriations, Divisions and Programs & Key Budget Issues*

### **Mission Statement:**

The Vermont Department of Corrections supports community safety by ensuring offenders serve their sentence, take responsibility for their crimes and have the opportunity to make amends to their victims. The department partners with Vermont communities to manage offender risk and assure accountability. It provides disciplined preparation of offenders to become productive citizens. The vision statement for the Department states it more broadly: To be valued by the citizens of Vermont as a partner in the prevention, research, control and treatment of criminal behavior.

### **Description of Appropriations, Divisions and Programs:**

#### **ADMINISTRATION**

The Department of Corrections has five basic goals: to involve the community; to address the needs of victims; to restore the community; to ensure that offenders are held responsible; and to keep offenders safely in custody.

The administration of the Department consists of the commissioner, deputy commissioner and the supporting division of finance, and a legal division staffed by the attorney general. Information technology support is provided at the agency level overseen by DOC personnel.

#### **PAROLE BOARD**

The Parole Board is an autonomous body that is included in the Corrections appropriation for administrative purposes. The board reviews recommendations made by the department to grant or revoke parole status, revoke or release offenders from Supervised Community Service (SCS) status, and also reviews requests for condition modifications, reprimands, and for early termination of parole. The Parole Board reviews all inmates in statutory reviews, and makes site visits to all facilities to perform parole hearings as required by law.

## **EDUCATION**

The purpose of the Community High School of Vermont (CHSVT) is to provide an accredited, coordinated and personalized education that assists students in their academic, social and vocational successes. Through education, students in the custody of the Vermont DOC obtain knowledge and job skills that they can utilize to become contributing members of their communities upon their release. These skills support the reduction of recidivism and add to the economic health of our state upon release.

The Community High School of Vermont awarded 84 High School Diplomas and 467 Industry Approved Trades Certifications during Fiscal Year 2012.

## **CORRECTIONAL SERVICES**

Correctional Services administers for the courts eight Legal Sanctions:

**Probation:** An offender found guilty of a crime upon verdict or plea, is released by the court without confinement, subject to the conditions and supervision by the Commissioner of Corrections. This is a contract between the offender and the court, to abide by conditions in return for the court not imposing the sentence. Violation of this sanction requires due process, with a court hearing, counsel, and proof beyond reasonable doubt. Within the probation sanction is the reparative probation program, which allows citizens on community panels to determine the quality of restitution made to the victim and repair of harm to the community, consistent with 28 VSA Chapter 12.

**Supervised Community Sentence:** Based on a law passed in 1990 that provides the legal framework for the intermediate sanctions program. The judge sentences, with prior approval of the Commissioner, to a set of conditions, minimum and maximum time frames and an intermediate sanctions programs. The offender is under the supervision of the Department of Corrections. The Parole Board is the appointed authority and violations are resolved through a Parole Hearing. When the offender reaches his minimum sentence the Parole Board may continue on SCS, convert to Parole, or discharge from supervision completely.

**Pre-approved Furlough:** The offender is sentenced to a term of confinement, but with prior approval of the Commissioner, for immediate release on furlough. Furlough status is a community placement, but the revocation is administrative, and the rules for behavior more stringent.

**Home Confinement:** A type of Pre-Approved Furlough that is determined either by the court at sentencing or the Commissioner of Corrections that restricts the offender to a pre-approved place of residence continuously, except for authorized absences, enforced by appropriate means of supervision, including electronic monitoring and other conditions.

**Incarceration:** The sentence is confinement to a correctional facility, under the care and custody of the Commissioner. Release is by the Parole Board, upon completion of the minimum term or placement on conditional reentry by the Commissioner.

**Conditional Reentry:** At the completion of the minimum term of sentence, the inmate may be released to the community, still under confinement, subject to conditions of furlough.

**Reintegration Furlough Reentry:** Up to 180 days prior to completion of the minimum term of sentence, the inmate may be released to the community, still under confinement, subject to conditions of furlough.

**Parole:** On completion of Conditional Reentry, or during the term of incarceration, on petition of the State or the inmate, the Parole Board may release the inmate on Parole, subject to the rules of the Board, supervised by Corrections.

**Home Detention:** A program of confinement and supervision that restricts a defendant to a pre-approved residence continuously, except for authorized absences, and is enforced by appropriate means of surveillance and electronic monitoring by the Department of Corrections.

## **PROGRAMS:**

### **Correctional Facilities:**

**Central Correctional Facilities:** Northern State [Newport], Northwest State [Swanton] and Southern State [Springfield] hold and treat violent and sexual offenders serving long sentences.

**Regional Correctional Facilities:** Northeast Regional [St. Johnsbury] and Marble Valley Regional [Rutland] serve courts and law enforcement agencies by providing detention, classification and short sentence housing, though this distinction has become less defined as a result of increased incarceration.

**Work Camp:** The Caledonia Community Work Camp [St. Johnsbury] and the Windsor Community Work Camp [Windsor] provides less expensive incarceration for appropriate offenders working in the community. Windsor now also holds sexual offenders receiving treatment.

**Facilities for Female Offenders:** Chittenden Regional Correctional Facility [South Burlington] provides incarceration for women offenders.

Key outcomes include public safety, rehabilitation/reentry and quality assurance. Programs in facilities include sex offender treatment, the violent offender program, an intensive domestic violence program, a federally funded substance abuse program, Community High School of Vermont, vocational training, and offender work programs. Offenders are entitled to standards of care such as nutrition, sanitation, recreation, education, religion, basic safety, medical, dental, and mental health care. More detailed data is presented in Facts and Figures, the Department's annual report on statistics and outcomes.

### **Probation & Parole Offices:**

Eleven Probation and Parole Offices manage offenders in the community who need supervision, treatment, and surveillance. The Vermont DOC partners with community-based organizations and treatment providers to offer programs in domestic violence, intensive substance abuse, cognitive skills training and education (through Community High School of Vermont), sex offender treatment and women's programs.

Probation & Parole Offices provide information to the courts at sentencing and eligibility determinations for program placement, while assisting Community Justice Centers and Reparative Boards in creating appropriate reparative sanctions to low risk offenders. These services can also include restitution and community service.

Key outcomes include community and victim reparation, and community involvement/reintegration.

There are currently a total of 20 Community Justice partnerships that operate 79 reparative boards in 32 host communities across the State. In the last year, over 700 citizen volunteers participated in restorative accountability processes for 650 offenders under DOC supervision and for another 1,000 individuals who were diverted from prosecution to these local Community Justice Centers/Programs.

In FY 13, there are 33 transitional housing programs across the state, with a total of 225 beds. There are also 4 programs that employ Housing Specialists to aid offenders in securing independent housing, and assisting them in retaining that housing for up to a year. Additionally, there are 8 programs (101 total beds) in various stages of development. In FY 12, a total of 858 offenders were housed in transitional housing for over 60,000 bed nights (saving costly prison bed space).

### **Other Outcomes:**

There were two escapes from custody last year but the inmates were apprehended within hours. Failures to return under field supervision remained level at about 5% of the number of furlougees supervised during the fiscal year (about 100 of about 2,000 each year). New charges filed against persons under supervision was at about 18.3% of all charges in Vermont during FY 2012 versus

17.3% in FY 2011. Recidivism: Three year follow-up recidivism for the 2008 cohort is 48%, down slightly from the 49% measured in the previous cohort (2007).

Reparation to community: Community based workcrews provided 213,800 hours in FY2011 and 213,200 hours in FY2012; Workcamp hours were 152,600 in FY2011 and 169,800 in FY2012. VCI/OWP hours were 106,900 in FY2011 and up to 117,800 in FY2012. The total in FY2011 was 473,300, so the 500,700 in FY2012.

For the CY2008 cohort of released prisoners, 44.9% of them returned to prison to serve at least 90 days for a new crime or violation of conditions.

### **CORRECTION FACILITIES - RECREATION**

The recreation fund is funded by the receipts from inmate commissaries and the inmate telephone system. The recreation fund is managed by both the Department of Corrections and inmate committees for inmate recreational opportunities. In addition, several of the inmate boards have decided to make substantive donations to victims' activities. The Recreation fund is also used to pay for inmate postage and to provide for support for reentry after release including housing.

### **CORRECTIONAL SERVICES-OUT OF STATE BEDS**

Due to the current size of the sentenced and detainee populations in Vermont, additional space to house inmates is provided by correctional facilities outside the state. The Out of State population (at this time, 504+/- inmates) is currently managed by the Out of State Unit. This office coordinates the classification and movement of appropriate offenders between Vermont DOC facilities and out of state facilities located currently in Kentucky, and Arizona.

### **VERMONT OFFENDER WORK PROGRAMS (VOWP)**

Vermont Offender Work Programs provides industrial and vocational training programs as well as work opportunities through three divisions. The Vermont Correctional Industry (VCI) shops include a print shop, a furniture manufacture and assembly shop, a license plate and sheet metal working shop, and smaller correctional industries. The program also works with the Caledonia and Windsor

Community Work Camps, providing many surrounding communities with work service crews that perform a wide variety of reparative services.

The majority of Vermont DOC Probation and Parole Offices host Community Restitution Service Units (CRSU'S) that provide work crews composed of offenders on probation and intermediate sanctions. These crews provide work service to communities across Vermont. Among many other projects, correctional community work service crews maintain all 110 fishing access areas managed by the Department of Fish and Wildlife, while also providing support to the Vermont State Parks and several forest projects. Crews work for nearly every town in Vermont, and many of the non-profit agencies ranging from food shelves to public libraries.

## **Key Budget Issues:**

### **Corrections – Administration:**

The Administration of the Department of Corrections continues to face serious fiscal, programmatic and infrastructure challenges in the next fiscal year. In an environment of reduced budget funding, the Department continues to reevaluate its mission and the sustainability of all of its operations. Of particular concern, is the Department's continuing struggles related to an out-dated and often, unreliable offender database. The Department is in the process of issuing an RFP to replace the offender management system. Other ongoing challenges include population growth, aging facilities and increasing demands from advocates for both victims and offenders.

### **Corrections – Parole Board:**

The Parole board is an autonomous entity, funded under the Department of Corrections for administrative purposes. The population served by the parole board continues to increase as the incarcerated population grows and is released to the community. The Parole Board is also reviewing an increasing number of offenders on parole after serving a sentence on supervised community sentence status. Controlling expenditures related to board member per-diem payments, as well as mileage and lodging expenditures continues to pose a challenge to the Department

### **Corrections – Education:**

The VT DOC is statutorily mandated to provide education services for offenders in custody who are under the age of 23 and have not achieved a high school diploma. The Community High School of Vermont serves as the vehicle for offenders to obtain their high school diplomas, through academic, social and vocational training. The Community High School of Vermont will restructure in the coming year to expand upon its recidivism reduction programming. Offenders in facilities will find an integrated education and career training system that addresses their needs and prepares them for successful reintegration into the community.

### **Corrections – Correctional Services:**

The Department of Corrections continues to face staffing challenge. The department continues to review current facility roles and operations to maximize their potential. This budget includes 5 Correctional Officer 1 positions at Northern State Correctional Facility to divide a large unit into smaller components. Also included in this request are the normal increases related to regular inflationary items which impact facility operations (food and utilities), inflation related to contracts for health services, normal internal service fund and employee fringe benefit adjustments. This budget also includes further investments in transitional housing.

### **Corrections – Recreation Fund:**

This fund/program continues to benefit from a renegotiated commission structure related to commissary items. To ensure that the inmate recreation fund can continue to be self supportive, internal allocations are limited to annual fund receipts.

### **Corrections – Out-of-State Beds:**

Presently there are +/-504 male inmates incarcerated outside of Vermont in private correctional facilities. This is a decrease from a high of 589 in Fiscal Year 2012. This decrease is the result of our implementation of additional transitional housing, working closely with our partners with the judiciary community and the hard work of our staff. Currently Vermont houses male inmates in Arizona and Kentucky. While out-of-state providers are significantly less costly than Vermont DOC prisons, these funds flow out of the state with no positive impact on the local economy.

### **VOWP:**

The VOWP is a self-funded operation, providing offender work and vocational training at no cost to the general fund. All three divisions of VOWP (Correctional Industries, Community Restitution Service Units and Work Camps) expect stable revenues in the coming year and the Department has worked to minimize unnecessary to continue increasing the solvency of the VOWP fund.

***VOWP Service Impacts:***

In addition to the programmatic value of preparing offenders for the work force, the VOWP adds value in several other ways. The majority of this money is spent in Vermont. All raw materials are purchased in the private sector, as are many services. Wages to offenders and VOWP staff are also spent mostly in the Vermont economy. Essentially, nearly all VOWP revenues represent an equal amount of money put into the local economy in the form of operating expenses.

**Vermont Department of Corrections**  
**SFY 2014 Department Program Profile**

DEPARTMENT:	CORRECTIONS								
		GF \$\$	TF \$\$	Spec F (incl tobacco) \$\$	Fed F \$\$	All other funds \$\$	Total funds \$\$	Authorized positions	Amounts granted out
<b>Administration</b>									
	The administration of the Department consists of the Commissioner, Deputy Commissioner and supporting divisions of Finance, Planning and a Legal Division staffed by the Attorney General.								
FY 2012 expenditures		1,980,406	0	0	0	0	<b>1,980,406</b>	21	0
FY 2013 estimated expenditures		2,218,260	0	0	0	0	<b>2,218,260</b>	23	0
FY 2014 budget request		2,323,565	0	0	0	0	<b>2,323,565</b>	24	0
<b>Parole Board</b>									
	The Parole Board reviews recommendations made by the department to grant or revoke parole status. The Parole Board reviews all inmates in statutory reviews, and makes site visits to all facilities to perform parole hearings as required by law.								
FY 2012 expenditures		297,382	0	0	0	0	<b>297,382</b>	3	0
FY 2013 estimated expenditures		322,045	0	0	0	0	<b>322,045</b>	2	0
FY 2014 budget request		327,980	0	0	0	0	<b>327,980</b>	2	0
<b>Education</b>									
	The Community High School of Vermont (CHSVT) provides an accredited, coordinated and personalized education that assists students in their academic, social and vocational successes. Through education, students in the custody of the Vermont DOC obtain the basic academic and job skills that allow a contribution to communities, as well as the economic health of our state upon release.								
FY 2012 expenditures		56,610	0	4,189,136	0	551,191	<b>4,796,937</b>	55	0
FY 2013 estimated expenditures		0	0	4,337,051	0	376,059	<b>4,713,110</b>	55	0
FY 2014 budget request		0	0	3,929,242	0	395,885	<b>4,325,127</b>	55	0

**Vermont Department of Corrections**  
**SFY 2014 Department Program Profile**

DEPARTMENT:	CORRECTIONS									
		GF \$\$	TF \$\$	Spec F (incl tobacco) \$\$	Fed F \$\$	All other funds \$\$	Total funds \$\$	Authorized positions	Amounts granted out	
<b>Correctional Services-Facility Services</b>										
	Incarceration services to the Vermont criminal justice system, in a range of secure confinement of violent and repeat offenders of the criminal law. There are eight correctional facilities in Vermont and additional inmates housed out of state.									
FY 2012 expenditures		76,362,212	0	91,000	0	72,723	<b>76,525,935</b>	640	0	
FY 2013 estimated expenditures		81,119,376	0	2,882	0	83,621	<b>81,205,878</b>	656	0	
FY 2014 budget request		83,753,052	0	2,882	0	83,621	<b>83,839,554</b>	657	0	
<b>Correctional Services-Field Services</b>										
	Eleven Probation & Parole Offices manage offenders in Vermont communities on the legal status of furlough, intermediate sanction, parole or probation. Offenders have been placed in the community either after, or instad of serving a term of confinement and are supervised according to their risk to re-offend (supervision levels range from daily contact to less frequent observation to administrative supervision; participation in intensive treatment programs; and behavior outcome performance management).									
FY 2012 expenditures		18,534,000	0	566,231	0	2,303,455	<b>21,403,686</b>	263	0	
FY 2013 estimated expenditures		19,688,619	0	466,181	0	3,685,863	<b>23,840,663</b>	263	0	
FY 2014 budget request		20,327,843	0	466,181	0	3,705,521	<b>24,499,545</b>	263	0	
<b>Correctional Services-Central Services</b>										
	Central Services consists of multiple entities that delver support to the entire department. These multiple divisions inclde the Vermont Corrections Academy, Human Resource Development (HRD), Community Justice (including Community Justice Centers, transitional housing, and victims services), program services and the offender classification unit.									
FY 2012 expenditures		18,855,035	0	18,099	3,362	1,581,809	<b>20,458,305</b>	53	5,985,627	
FY 2013 estimated expenditures		20,029,654	0	14,901	477,212	2,408,674	<b>22,930,441</b>	52	8,621,984	
FY 2014 budget request		20,679,950	0	14,901	470,962	2,419,852	<b>23,585,665</b>	52	8,706,735	

**Vermont Department of Corrections**  
**SFY 2014 Department Program Profile**

DEPARTMENT:	CORRECTIONS								
		GF \$\$	TF \$\$	Spec F (incl tobacco) \$\$	Fed F \$\$	All other funds \$\$	Total funds \$\$	Authorized positions	Amounts granted out
<b>Out-of-State Beds</b>									
	Due to the current size of the sentenced and detainee populations in Vermont, additional space to house inmates is provided in correctional facilities operated by private companies outside of the state. The Out-of-State Unit (OOS) coordinates the classification and movement of appropriate offenders between Vermont DOC facilities and out of state facilities located in Kentucky and Alabama.								
FY 2012 expenditures		12,667,200	0	0	0	0	<b>12,667,200</b>	0	0
FY 2013 estimated expenditures		12,793,153	0	0	0	0	<b>12,793,153</b>	0	0
FY 2014 budget request		10,507,753	0	0	0	0	<b>10,507,753</b>	0	0
<b>Correctional Facilities Recreation</b>									
	The recreation program is managed by both the Department of Corrections and inmate recreation committee to provide for inmate recreational opportunities and the development of pro-social behavior.								
FY 2012 expenditures		0	0	718,362	0	0	<b>718,362</b>	7	0
FY 2013 estimated expenditures		0	0	792,739	0	0	<b>792,739</b>	7	0
FY 2014 budget request		0	0	811,619	0	0	<b>811,619</b>	7	0
<b>Vermont Offender Work Programs (VOWP)</b>									
	The Vermont Offender Work Programs (VOWP) is made of of Vermont Correctional Industries (VCI), Community Restitution Service Units (CRSU's) and Correctional Facility Work Camps. These programs help prepare offenders to become contributing citizens upon their return to the community.								
FY 2012 expenditures		0	0	0	0	1,587,466	<b>1,587,466</b>	13	0
FY 2013 estimated expenditures		0	0	0	0	1,460,617	<b>1,460,617</b>	12	0
FY 2014 budget request		0	0	0	0	1,502,901	<b>1,502,901</b>	12	0
<b>Total Department</b>									
FY 2012 expenditures		128,752,846	0	5,582,827	3,362	6,096,644	<b>140,435,679</b>	1,055	5,985,627
FY 2013 estimated expenditures		136,171,107	0	5,613,753	477,212	8,014,834	<b>150,276,906</b>	1,070	8,621,984
FY 2014 budget request		137,920,143	0	5,224,824	470,962	8,107,780	<b>151,723,709</b>	1,072	8,706,735